

BOARD OF REGENTS
for
KENTUCKY STATE UNIVERSITY



Regular Meeting of the Board of Regents
Thursday, June 7, 2018
1:00 p.m.

Kentucky State University
Carl M. Hill Student Center Ballroom

KENTUCKY STATE UNIVERSITY BOARD OF REGENTS

**Thursday, June 7, 2018
1:00 p.m.**

Carl M. Hill Student Center Ballroom
Kentucky State University
Frankfort, Kentucky 40601

AGENDA

1. **Call to Order** Regent Elaine Farris, Chair
2. **Roll Call** Dr. M. Christopher Brown II, Secretary
3. **Adoption of the Agenda** Regent Elaine Farris
4. **Opening Remarks** Regent Elaine Farris
5. **Approval of Minutes** Regent Elaine Farris
 - A. *Minutes of the April 16, 2018,
Special Meeting of the Board of Regents*
6. **President's Quarterly Report** Dr. M. Christopher Brown II, President
7. **Committee Areas**
 - A. Academic Affairs Regent Dalton Jantzen
 1. *Action Items*
 - a. *Revision of Faculty Handbook Tenure and Promotion Policy for CAFSSS*
 - b. *Faculty Evaluations Resolution*
 - c. *Recommendation of Dr. Gashaw Lake for Professor Emeritus*
 - d. *Acceptance of Green Ribbon Commission Report*
 - e. *Affirmation of Academic Program Closures*
 - f. *Academic Growth and Innovation Structure*
 - g. *Creation of Center for Economic Education and Financial Literacy*
 2. *Informational Items*
 - a. *Tenure and Promotion Update*
 - b. *Southern Association of Colleges and Schools
Commission on Colleges Update*
 - B. Enrollment Management and
Brand Identity Regent Roger Reynolds
 1. *Informational Items*
 - a. *Quarterly Media and Brand Identity Report*
 - b. *Thorobred of the Month Program*
 - c. *Public Engagement and Community Outreach Update*
 - d. *Fall 2018 Admissions Update*

C. Finance and Administration

Regent Mindy Barfield

1. *Action Items*

- a. *Certification of Resolution for Authorization to Sign Agreements with Fifth Third Bank*
- b. *FY 2017 Audit*
- c. *2018-19 and 2019-20 Tuition and Fees*
- d. *Thoroughbred Promise Tuition Program*
- e. *Budget for FY 2019 and FY 2020*
- f. *Per Diem Rate*
- g. *Reinstatement of Sick Leave Credit with KTRS*
- h. *Emergency Generator Project*
- i. *Initiation of Energy Performance Contract Process*
- j. *Campus Master Plan Project*
- k. *Approval to Transition to Synthetic Grass Athletic Field*
- l. *Campus Roof Evaluation and Repair*
- m. *Design Study for Shauntee Hall*
- n. *Memorandum of Agreement for Pedestrian Bridge*
- o. *Endowment Spending Policy*
- p. *Five Year Staff Holiday Schedule*
- q. *Authorization for Voluntary Separation Incentive Program*

2. *Informational Items*

- a. *ADP Payroll Conversion Update*
- b. *Banner Update*
- c. *Review of Campus Facilities and Priorities*
- d. *Report on Kentucky State University Foundations*

D. Institutional Advancement and Alumni Relations

Regent Ron Banks

1. *Informational Items*

- a. *Fifth Third Bank Semiannual Endowment Report*
- b. *Scholarship Fundraising Effort for Female STEM Majors*
- c. *Election Results for KSUNAA Officers*
- d. *KSUNAA Summer Weekend, July 25-28, 2018*

E. Student Engagement and Campus Life

Regent Elaine Farris

1. *Action Item*

- a. *Resolution of Support for SGA Leadership*

2. *Informational Items*

- a. *Introduction of New Administrators and Organizational Structure*
- b. *Pre-College Academy Update*
- c. *University College Implementation Update*
- d. *The Halls Reopening Update*

F. Governance

Regent Paul Harnice

1. *Action Item*

- a. *Rescind November 7, 2014 Policy Resolution*

- 2. *Informational Item*
 - a. *The Gold Book Revisions Update*

- G. Legal
 - 1. *Action Item*
 - a. *Approval of Contracts*
 - i. *Marine Personal Services Contract*
 - ii. *Dining Services Contract*
- Attorney Lisa Lang,
General Counsel

8. **Campus Stakeholder Presentations (3 minutes each)**

- A. Faculty Senate Updates

Ms. Dantrea Hampton,
Faculty Senate President
- B. Staff Senate Updates

Mr. Travis Haskins,
Staff Senate President
- C. Student Government Updates

Regent Onaje Cunningham,
Student Government
Association President

9. **Closed Session**

- A. Pending Litigation (KRS 61.810 (1)(c))
- B. Individual Personnel Matters (KRS 61.810 (1)(f))

Regent Elaine Farris

10. **Personnel Action(s)**

1. *Action Items*

- a. *Approval of Vice President for Institutional Advancement*
- b. *Approval of Internal Auditor or Internal Audit Service*
- c. *Presidential Performance Review Results*
- d. *2018-2019 Presidential Performance Objectives*

Regent Elaine Farris

11. **Closing Remarks**

Regent Elaine Farris

12. **Adjournment**

Regent Elaine Farris

NOTE: Board of Regents Retreat tentatively scheduled August 13-14, 2018.

**SPECIAL MEETING
KENTUCKY STATE UNIVERSITY
BOARD OF REGENTS**

**Monday, April 16, 2018
1:00 p.m.**

Board of Regents Room
2nd Floor Julian M. Carroll Academic Services Building
Kentucky State University
Frankfort, Kentucky 40601

MINUTES

I. Call to Order

Chairperson Elaine Farris, Ed.D. called the meeting to order at 1:00 p.m.

II. Roll Call

President M. Christopher Brown II, Ph.D. conducted roll call:

Regent Ron Banks	Present
Regent Mindy Barfield, Esq.	Present
Regent Karen Bearden, Ph.D.	Present
Regent Onaje Cunningham	Present
Regent Elaine Farris, Ed.D.	Present
Regent Derrick Gilmore	Present
Regent Paul Harnice, Esq.	Present
Regent Dalton Jantzen	Present
Regent Elgie McFayden, Ph.D.	Present
Regent Syamala H. K. Reddy, M.D.	Absent
Regent Roger Reynolds	Present

Ten Regents were in attendance; a quorum was established.

III. Adoption of the Agenda

MOTION by Regent Harnice:

Move the Board to approve the April 16, 2018, Board of Regents Agenda.

Seconded by Regent Reynolds and passed without dissent.

Regent Cunningham was not present for this vote.

IV. Opening Remarks

President Brown gave his opening remarks.

V. Approval of Minutes

MOTION by Regent Jantzen:

Move to approve the minutes of the March 1, 2018, Executive Committee Meeting.

Seconded by Regent Barfield and passed without dissent.

MOTION by Regent McFayden:

Move to approve the minutes of the March 1, 2018, Board of Regents Meeting.

Seconded by Regent Barfield and passed without dissent.

VI. Committee Areas

A. Academic Affairs

1. *Action Items*

- a. President Brown requested approval of the Clarified Mission Statement.

MOTION by Regent Gilmore:

Move the Board to approve the Mission Statement as stated.

Seconded by Regent McFayden and passed without dissent.

- b. President Brown requested approval to continue the Associate Degree in Nursing Program.

MOTION by Regent Reynolds:

Move the Board to continue the Associate Degree in Nursing Program.

Seconded by Regent McFayden and passed without dissent.

- c. President Brown requested approval to award an Honorary Degree to Dr. Randal Pinkett.

MOTION by Regent McFayden:

Move the Board to award an Honorary Degree to Dr. Randal Pinkett.

Seconded by Regent Reynolds and passed without dissent.

B. Finance and Administration

1. *Informational Items*

President Brown gave an update on dining and catering services.

2. *Action Items*

- a. President Brown requested approval of the tuition rates for the Summer 2018 Semester.

MOTION by Regent McFayden:

Move the Board to approve the tuition rates for the Summer 2018 Semester.

Seconded by Regent Farris and passed without dissent.

- b. President Brown requested approval of the capital construction projects to renovate Hunter Hall and The Halls.

MOTION by Regent Harnice:

Move the Board to approve the Hunter Hall renovation project with a budget of \$1.9 million in federal funds.

Seconded by Regent McFayden and passed without dissent.

MOTION by Regent Harnice:

Move the Board to approve The Halls renovation project which has a \$900,000.00 budget from the University's fund balance to be repaid from auxiliary revenues.

Seconded by Regent McFayden and passed without dissent.

- c. President Brown requested approval of the tuition rates for Montgomery GI Bill Federal Tuition Assistance.

MOTION by Regent Harnice:

Move the Board to approve the adjusted tuition rates for the Montgomery GI Bill Federal Tuition Assistance.

Seconded by Regent McFayden and passed without dissent.

C. Governance

1. *Action Items*

- a. President Brown requested approval of Board having a Self-Evaluation Process.

MOTION by Regent Reynolds:

Move the Board to approve the Board's Self-Evaluation Process.

Seconded by Regent Jantzen and passed without dissent.

- b. Regent Harnice requested approval of the Presidential Evaluation Process Procedures.

MOTION by Regent Barfield:

Move the Board to approve the Presidential Evaluation Process Procedures.

Seconded by Regent McFayden and passed without dissent.

- c. Regent Harnice requested approval of the Board of Regents' questionnaire for the Presidential Evaluation Process.

MOTION by Regent McFayden:

Move the Board to approve the Board of Regents' questionnaire for the Presidential Evaluation Process.

Seconded by Regent Jantzen and passed without dissent.

- d. Regent Harnice requested approval of the timeline for the Presidential Evaluation Process.

MOTION by Regent Barfield:

Move the Board to approve the timeline of the Presidential Evaluation Process.

Seconded by Regent McFayden and passed without dissent.

D. Legal

1. *Action Items*

- a. Ms. Lang presented the Crowe Horwath, FY 2018 Auditor, contract; the CampusLabs contract; and the contracts related to Ellucian/Banner for Board approval.

MOTION by Regent Gilmore:

Move the Board to approve the FY 2018 Auditor contract.

Seconded by Regent Jantzen and passed without dissent.

MOTION by Regent Barfield:

Move the Board to approve the Campus Labs contract.

Seconded by Regent McFayden and passed without dissent.

MOTION by Regent McFayden:

Move the Board to approve the Ellucian/Banner contracts.

Seconded by Regent Gilmore and passed without dissent.

VII. Closed Session

MOTION by Regent Barfield:

Move to go into Closed Session to discuss personnel matters, pending litigation, and property acquisition pursuant to KRS 61.810 (Kentucky Open Meetings Act).

Seconded by Regent McFayden and passed without dissent.

VIII. Open Session

MOTION by Regent McFayden:

Move to enter into open session.

Seconded by Regent Jantzen and passed without dissent.

IX. Personnel Actions

- A. President Brown announced the retirement of Athletic Director William Graham to occur on June 1, 2018. Regent McFayden requested approval to draft a resolution to honor William Graham for his year of service.

MOTION by Regent Barfield:

Move to draft a resolution to honor William Graham.

Seconded by Regent Harnice and passed without dissent.

- B. President Brown advanced the name of Douglas R. Allen, II to serve as Vice President for Finance and Administration and Chief Financial Officer. Regent McFayden requested approval for Douglas R. Allen, II to be hired by Kentucky State University.

MOTION by Regent Harnice:

Move to approve that Kentucky State University hire Douglas R. Allen, II as the new CFO.

Seconded by Regent Reynolds and passed without dissent.

X. No closing remarks

XI. Adjournment

MOTION by Regent McFayden:

Moved the Board for adjournment at 3:41 p.m.

Seconded by Regent Reynolds and passed without dissent.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018

SUBJECT: Revision of Faculty Handbook Tenure and Promotion Policy for CAFSSS

FROM: Office of Academic Affairs

ACTION ITEM: Yes

BACKGROUND: Revised Appendix P to the Faculty Handbook – PCC Action Item 14-15 #05: Updated Appendix P to reflect changes made to the appendix covering the College of Agriculture, Food Science, and Sustainable Systems (CAFSSS). *Rationale:* The Revised Appendix makes changes due to the University reorganization and changes that have been made in the College.

SUMMARY OF PROGRAMS/ACTIVITIES: See attached Appendix P.

ALIGNMENT WITH STRATEGIC GOALS: Goal 2 – Achieve Academic Excellence Across all Programs and Colleges, Increase Student General Education Skills, Degree Persistence, Career Readiness and Graduation Rates.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: The proposed revision will ensure that CAFSSS Faculty receive the most appropriate guidance in preparing their professional dossiers for Tenure and/or Promotion consideration consistent with College and University requirements.

FISCAL IMPLICATIONS: None

ATTACHMENTS: Appendix P of Faculty Senate Handbook and Faculty Senate Action Tracking Document

RECOMMENDATION: Approval of proposed revision.

Appendix P

College of Agriculture, Food Science, and Sustainable Systems Promotion and Tenure

I. Appointment

Appointment of scientists from the College of Agriculture, Food Science, and Sustainable Systems (CAFSSS) shall follow the same procedures that are established for appointing all faculty. Any scientists appointed to the university faculty from CAFSSS shall have the appropriate terminal degree.

Statement of Terminal Professional Degree for CAFSSS Faculty

A doctoral degree (or its equivalent) in an area of science in which CAFSSS conducts research, teaching, or extension is the terminal degree for faculty.

II. Guidelines for Promotion

- a. **General professional and scholarly qualification**
- b. **Academic preparation for appointment to CAFSSS Faculty** is established on the basis of the terminal professional degree for the various research disciplines, as recognized by receiving the terminal degree from an accredited university.
- c. **Promotional ability** is evidenced by contributions to the research and/or educational and/or extension mission of the University, for example, publication in technical journals, presentations at scientific conferences, professional society involvement, teaching, information and technology transfer, grantsmanship, and student mentoring.
- d. **Evidence for promotion in rank** shall include the following either singly or in combination as specified by the contract and based on the official appointment percentages: *Designing and implementing research projects as described in research proposals; supervising personnel, and managing resources and facilities; publishing research results in refereed journals, books and book chapters, abstracts and proceedings, and other publications and media outlets; teaching appropriate undergraduate and graduate level classes in the various areas of CAFSSS that are appropriate for the individual to be engaged; conducting field demonstrations; organizing CAFSSS related teaching/training meetings; presenting CAFSSS related materials and information to interested persons and groups; assisting CAFSSS stakeholders, and all other duties related to information and technology transfer; soliciting funds, collaboration, and other resources from public and private agencies and extension to enhance research program; mentoring students, especially those in the COLTS programs and minority students; actively participating in the appropriate professional societies via oral and poster presentations; serving on committees and holding office and other University involvement.*

III. Criteria for Promotion to Specific Ranks

- a. **Assistant Professor:** Appointment to the rank of assistant professor shall be made after it has been determined that the individual has earned the terminal degree appropriate to the field of assignment as recognized by the academic disciplines, has current capability for excellent scholarship, and demonstrates potential for significant growth in these areas.
- b. **Associate Professor:** Appointment or promotion to the rank of associate professor shall be made only after a candidate has met the criteria for assistant professor, has acquired five years of full-time experience (or its equivalent as determined by the CAFSSS faculty and the Provost/Vice President for Academic Affairs), and has demonstrated high achievements commensurate with the level of contractual obligations in the aforementioned areas of (1) research and/or teaching and/or extension and other creative activity based on the individual's appointment; (2) professional activity and contributions; and (3) professional, university, and public service. Particularly, an indication of continuous improvement and scholastic contributions should be evident as documented by the candidate. Further, the individual should have earned some external recognition for excellence appropriate to the field of assignment.
- c. **Full Professor:** Appointment or promotion to the rank of full professor shall be made only after a candidate has met the criteria for associate professor, has acquired five additional years of full-time experience (or its equivalent as determined by the CAFSSS faculty and the Provost/Vice President for Academic Affairs) after associate professor rank, and has demonstrated high achievements commensurate with the level of contractual obligations in the aforementioned areas of (1) research and/or teaching and/or extension and other creative activity based on the individual's appointment; (2) professional activity and contributions; and (3) professional, university, and public service. Particularly, such an appointment implies that, in the opinion of colleagues, the candidate's scholarship is excellent and, in addition, she/he has earned a high level of professional recognition. It should be stressed further that this rank is in recognition not only of length of service but also of achievement.

IV. Requirements for Tenure

- a. **Professional Competence:** Professional competence in the respective professional research and/or teaching and/or extension position is the primary criterion for granting promotion and tenure. Evaluation of scholarship and service will be based on such effectiveness, which includes up-to-date knowledge of the discipline and is demonstrated through several performance objectives that include: (1) designing and implementing research projects as described in research proposals, supervising personnel, and managing resources and facilities;

(2) publishing research results in refereed journals, books and book chapters, abstracts and proceedings, and other publications and media outlets; (3) teaching effectively; (4) participating in Extension activities as they relate to CAFSSS and the transfer of information and technology; (5) soliciting funds, collaboration, and other resources from public and private agencies to enhance the program; (6) teaching and mentoring students in various forums such as classrooms, COLTS programs, student workers, or graduate students, and (7) participating actively in the appropriate professional societies via oral and poster presentations, serving on committees and holding office, and other society involvement. The weight applied to the areas of teaching, research, and extension will be based on the official appointment of the individual during their time at KSU.

- b. **University Service:** Faculty members are expected to make professional contributions through service to the academic unit and university at-large. University service includes responsible participation in instructional governance, service on committees, teaching and/or advising students, performing consulting work, or administrative and professional work on behalf of the academic unit or university for which there may be no specific compensation of assignment.
- c. **Public Service:** As an institution which depends on the public for both direct and indirect support, the university has an obligation to return to this public some of the tangible benefits of the academic process. Faculty members have an obligation to be involved in public service which may include: serving as a consultant, providing training for non-profit agencies, and participating in community projects.
- d. **Professional Development:** Faculty members are expected to demonstrate continuing professional development by additional hours of study, and participation in seminars, workshops, and conferences. In addition, faculty members should participate in their appropriate professional organizations.
- e. **Post-Tenure/Promotion Review Process:** After Tenure or Promotion is awarded to an individual, that person will be reviewed every subsequent four years. There are three possible recommendations resulting from post-tenure/promotion review: (1) expectations exceeded, with possible rewards, (2) expectations met, and (3) expectations not met, with person placed on probation.

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**KENTUCKY STATE UNIVERSITY
FACULTY SENATE
ACTION TRACKING DOCUMENT**

EFFECTIVE DATE: 10/06/2017

Code: PCC 17/18-04

Origin:

- ☐ Academic Policies Committee
- ☐ Budget and Academic Support Committee
- ☐ Curriculum Committee
- ☐ Executive Committee
- ☒ Professional Concerns Committee
- ☐ Senate Resolution
- ☐ Other (specify) _____

Date Introduced: 10/02/2017

Date Last Revised: 10/02/2017

Description:

Key Words: Revised Appendix P to the Faculty Handbook

I. Committee Approval: ☐ Date: 10/02/2017

Chairperson: Wilfred Reilly Date 10/02/2017

II. Senate Action: ☒ Approved ☐ Disapproved ☐ Returned

Senate President: [Signature] Date 11/13/2017

III. Provost/Vice President Academic Affairs: ☐ Approved ☐ Disapproved ☐ Returned

Provost/VPAA Signature: _____ Date: _____

On approval, please forward the original to the President and send the attached notification to the Faculty Senate Office

IV. President: ☐ Approved : ☐ Disapproved : ☐ Returned

President: _____ Date: _____

Distribution:

- ☐ President
- ☐ Vice President for Academic Affairs
- ☐ Registrar
- ☐ Faculty Senate President for Distribution to:
- ☐ Committee Chair
- ☐ Senate Office Records
- ☐ Faculty Handbook
- ☐ Other: _____

AA 3/20/18
[Signature]

Sent to Ms.
Smoot
per VPAA
3/27/18

PCC Action Item 14-15 #05: Updated Appendix P to reflect changes made to the appendix covering the College of Agriculture, Food Science, and Sustainable Systems.

Rationale: The Revised Appendix makes changes due to the University Reorganization and changes that have been made in the College.

Appendix P

COLLEGE OF AGRICULTURE, FOOD SCIENCE, AND SUSTAINABLE SYSTEMS PROMOTION AND TENURE

I. Appointment

Appointment of scientists from the College of Agriculture, Food Science, and Sustainable Systems (CAFSSS) shall follow the same procedures that are established for appointing all faculty. Any scientists appointed to the university faculty from CAFSSS shall have the appropriate terminal degree.

STATEMENT OF TERMINAL PROFESSIONAL DEGREE FOR CAFSSS FACULTY

A doctoral degree (or its equivalent) in an area of science in which CAFSSS conducts research, teaching, or extension is the terminal degree for faculty.

II. Guidelines for Promotion

- A. General professional and scholarly qualification:
- B. Academic preparation for appointment to CAFSSS Faculty is established on the basis of the terminal professional degree for the various research disciplines, as recognized by receiving the terminal degree from an accredited university.

Promotional ability is evidenced by contributions to the research and/or educational and/or extension mission of the University; for example, publication in technical journals, presentations at scientific conferences, professional society involvement, teaching, information and technology transfer, grantsmanship, and student mentoring.

- D. Evidence for promotion in rank shall include the following either singly or in combination as specified by the contract and based on the official appointment percentages:

Designing and implementing research projects as described in research proposals; supervising personnel, and managing resources and facilities; publishing research results in refereed journals, books and book chapters, abstracts and proceedings, and other publications and media outlets; teaching appropriate undergraduate and graduate level classes in the various areas of CAFSSS that are appropriate for the individual to be engaged; conducting field demonstrations; organizing CAFSSS-related teaching/training meetings; presenting CAFSSS-related materials and information to interested persons and groups; assisting CAFSSS stakeholders, and all other duties related to information and technology transfer; soliciting funds, collaboration, and other resources from public and private agencies and extension to enhance research program; mentoring students, especially those in the COLTS programs and minority students; actively participating in the appropriate professional societies via oral and poster presentations; serving on committees and holding office, and other University involvement.

III. Criteria for Promotion to Specific Ranks

A. Assistant Professor

Appointment or promotion to the rank of assistant professor shall be made after it has been determined that the individual has earned the terminal degree appropriate to the field of assignment as recognized by the academic discipline, has current capability for excellent scholarship, and demonstrates potential for significant growth in these areas.

B. Associate Professor

Appointment or promotion to the rank of associate professor shall be made only after a candidate has met the criteria for assistant professor, has acquired five years of full-time experience (or its equivalent as determined by the CAFSSS faculty and the Provost/Vice president for Academic Affairs), and has demonstrated high achievements commensurate with the level of contractual obligations in the aforementioned areas of (1) research and/or teaching and/or extension and other creative activity based on the individual's appointment; (2) professional activity and contributions; and (3) professional, University, and public service. Particularly, an indication of continuous improvement and scholastic contributions should be evident as documented by the candidate. Further, the individual should have earned some external recognition for excellence appropriate to the field of assignment.

C. Full Professor

Appointment or promotion to the rank of full professor shall be made only after a candidate has met the criteria for associate professor, has acquired five additional years of full-time experience (or its equivalent as determined by the CAFSSS faculty and the Provost/Vice president for Academic Affairs) after Associate Professor rank, and has demonstrated high achievements commensurate with the level of contractual obligations in the aforementioned areas of (1) research and/or teaching and/or extension and other creative activity based on the individual's appointment; (2) professional activity and contributions; and (3) Professional, University, and public service. Particularly, such an appointment implies that, in the opinion of colleagues, the candidate's scholarship is excellent and, in addition, she/he has earned a high level of professional recognition. It should be stressed further that this rank is in recognition not only of length of service but also of achievement.

IV. Requirements for Tenure

A. Professional Competence

Professional competence in the respective professional research and/or teaching and/or extension position is the primary criterion for granting promotion and tenure. Evaluation of scholarship and service will be based on such effectiveness, which includes up-to-date knowledge of the discipline and is demonstrated through several performance objectives that include: (1) designing and implementing research projects as described in research proposals, supervising personnel, and managing resources and facilities; (2) publishing research results in refereed journals, books and book chapters, abstracts and proceedings, and other publications and media outlets; (3) teaching effectively; (4) participating in Extension activities as they relate to CAFSSS and the transfer of information and technology; (5) soliciting funds, collaboration, and other resources from public and private agencies to enhance the program; (6) teaching and mentoring students in various forums such as classrooms, COLTS programs, student workers, or graduate students; and (7)

participating actively in the appropriate professional societies via oral and poster presentations, serving on committees and holding office, and other society involvement. The weight applied to the areas of teaching, research, and extension will be based on the official appointment of the individual during their time at KSU.

B. University Service

Faculty members are expected to make professional contributions through service to the academic unit and University at large. University service includes responsible participation in instructional governance, service on committees, teaching and/or advising students, performing consulting work, or administrative and professional work on behalf of the academic unit or University for which there may be no specific compensation of assignment.

C. Public Service

As an institution which depends on the public for both direct and indirect support, the University has an obligation to return to this public some of the tangible benefits of the academic process. Faculty members have an obligation to be involved in public service which may include: serving as a consultant, providing training for non-profit agencies, and participating in community projects.

D. Professional Development

Faculty members are expected to demonstrate continuing professional development by additional hours of study, and participation in seminars, workshops, and conferences. In addition, faculty members should participate in their appropriate professional organizations.

E. Post-Tenure/Promotion Review Process

After tenure or promotion is awarded to an individual, that person will be reviewed every subsequent four (4) years. There are three (3) possible recommendations resulting from post-tenure/promotion review: (1) expectations exceeded, with possible rewards; (2) expectations met, and (3) expectations not met, with person placed on probation.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: Faculty Evaluations Resolution
FROM: Office of Academic Affairs
ACTION ITEM: Yes

BACKGROUND: Currently Kentucky State University does not have a comprehensive system to collect and evaluate faculty credentials.

SUMMARY OF PROGRAMS/ACTIVITIES: Effective July 1, 2018, all instructional faculty (full-time, part-time, and adjuncts) will be required to upload their professional credentials into the cloud-based Interfolio software system. The system requires specific data and information, an electronic version of the faculty member's most recent CV/resume, and all approved course syllabi. Faculty will be required to have current and active information in the system to be eligible for tenure and/or promotion, reappointment, summer salary, and/or merit or cost of living salary adjustments.

ALIGNMENT WITH STRATEGIC GOALS: Goal 2 – Achieve Academic Excellence Across all Programs and Colleges, Increase Student General Education Skills, Degree Persistence, Career Readiness and Graduation Rates.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: Implementation will allow faculty to have materials in a central location and will assist the University with compliance and reporting requirements.

FISCAL IMPLICATIONS: Cost of relevant Interfolio module and implementation. Estimated cost for year one is less than \$13,000 and less than \$8,000 for subsequent year.

ATTACHMENTS: N/A

RECOMMENDATION: Approval of requirement for all instructional faculty to upload their professional credentials into the cloud-based Interfolio software system to be eligible for tenure and/or promotion, reappointment, summer salary, and/or merit or cost of living salary adjustments.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018

SUBJECT: Recommendation of Dr. Gashaw Lake for Professor Emeritus

FROM: Office of Academic Affairs

ACTION ITEM: Yes

BACKGROUND: Dr. Gashaw Lake is retiring from Kentucky State University after 32 years of dedicated service. In recognition of his great service, Professor Emeritus status is requested.

SUMMARY OF PROGRAMS/ACTIVITIES: The academic department, college, as well as the Provost support the request.

ALIGNMENT WITH STRATEGIC GOALS: Goal 2 – Achieve Academic Excellence Across all Programs and Colleges, Increase Student General Education Skills, Degree Persistence, Career Readiness, and Graduation Rates.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: Recognition of Faculty excellence and long-term contributions to the University.

FISCAL IMPLICATIONS: None

ATTACHMENTS: Letters of Support from Department, College, and Provost and CV.

RECOMMENDATION: Approval and authorization to grant Professor Emeritus status to Dr. Gashaw Lake.



DATE: May 18, 2018

TO: President M. Christopher Brown II
Kentucky State University and
Members of the Kentucky State University Board of Regents

FROM: Debbie G. Thomas, Ph.D.
Provost and Vice President for Academic Affairs

RE: Recommendation on Behalf of Gashaw Lake, Ph.D.
Supporting Request for Professor Emeritus Status

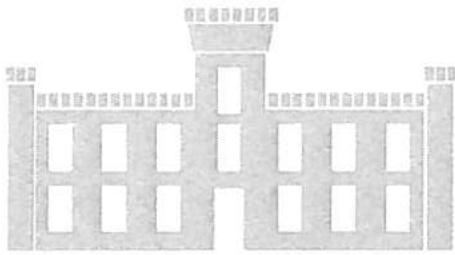
Dr. Gashaw Lake has indeed served Kentucky State University with great distinction for 32 years and is indeed deserving of the designation as Professor Emeritus. His service has included tenure as a faculty member and former Dean of the College of Professional Studies.

As Dean, he provided exemplary leadership for the School of Public Administration, Department of Criminal Justice and Social Work, School of Business, School of Education, School of Nursing, and the Applied Technology Department. He also worked tirelessly to develop the Doctor of Nursing (DNP) program and the Master of Business Administration (MBA). In collaboration with dedicated faculty members, he helped to guide the national reaccreditation by the NASPAA, ABSP, SACSCOC and SOW. Further, he conceptualized the Whitney Young statue which was commissioned by the Kentucky State University Alumni Association in 1990.

Given his excellent service throughout his commendable tenure at this wonderful institution, Dr. Lake has become a university treasure. His impact to Kentucky State University students, faculty, staff, administration, and the proximate community will be felt for many years to come. I hereby strongly endorse his candidacy for Professor Emeritus status and humbly request your consideration. Thank you in advance.

IN ALL THINGS EXCELLENCE

Kentucky State University is an equal educational and employment opportunity/affirmative action institution.



Received

MAY 18 2018

Academic Affairs

TO: Dr. Debbie Thomas
Vice President/Provost, Academic Affairs

FROM: Dr. A Christopher Hayden
Acting Dean, College of Professional Studies

DATE: May 16, 2018

RE: Request for Professor Emeritus Status
Dr. Gashaw Lake

Dr. Thomas,

I am requesting your review and approval to recommend Dr. Gashaw Lake to President Brown for appointment as professor emeritus at Kentucky State University. Based on the guidelines specified in the *Faculty Handbook*, faculty within his department was polled and a majority voted in favor to recommend Dr. Lake's appointment. In addition, the Chair, Dr. Elgie McFayden, and I as Acting Dean of the College also support his appointment. Your support would be greatly appreciated. Attached is a letter from Dr. Lake for your review and consideration. Many thanks.

act
5/18/18



Received

MAY 18 2018

April 30, 2018

Academic Affairs

Dear President Brown,

This is to inform you that I am retiring from the University as a faculty member and former Dean of the College of Professional Studies in May 2018. I have served the University as a dean from 1998-2015 and faculty member from 1986-1998 and 2015-2018, a total service of thirty-two years. I have kept my promise to my graduate students, who petitioned for me to extend my tenure here two more years, but the time has come for me to retire. I would also like to request Emeritus status for my thirty-two years of service to the University.

As the Dean of the College of Professional Studies, I was in charge of the School of Public Administration, Department of Criminal Justice and Social Work, the School of Business, the School of Education, the School of Nursing and the Applied Technology Department. I was able to develop the Doctor of Nursing program (DNP) and MBA in the School of Business. It is to be noted that with great help of faculty members, I was able to have all the Schools above re-accredited by NASPAA, ABSP, SACCS, and SOW. I was also privileged to conceptualize the Whitney Young statue which was commissioned by the Kentucky State University Alumni Association in 1990.

From a historical perspective, Kentucky State University remains the best kept secret in the state. My time here has been a time of change for the University family that presented a challenge that lent itself to many uncertainties that linger in my time of retirement. During my tenure here, the University has had two major problems: the high rate administrator turnover and suspension of the University's governing by-laws.

The University has undergone three major restructurings in the last three decades, and has been governed by eight presidents, including three interim presidents, and eighteen vice presidents of academic affairs. It has also endured suspension of the University by-laws, which has been a source of disappointment and opened the flood gates for many faculty to leave the University through retirement. This instability also became an impediment to attracting new scholars to join the University. The high turnover of administration combined with the restructuring has impeded our ability to recruit, retain and increase enrollment as well. The lack of stability of administration has also lent itself to financial crises with no one held accountable for the misappropriation or misallocation of vital resources.

IN ALL THINGS EXCELLENCE

Kentucky State University is an equal educational and employment opportunity/affirmative action institution.

df
5/18/18



I believe we are now at the turning point where what we do will help the University to recover and sustain its legacy. It is imperative that the University succeed because much is expected of our students, particularly those first-generation graduates who come here to have access to higher education.

Therefore, I am hopeful that you will help to alleviate these long-standing problems, and I believe you will lead this University with transparency and justice for all. I wish you and my colleagues all the best in facing the challenges that lie ahead. I leave Kentucky State University with my best wishes that it will remain vital for generations to come.

Thank you.

Sincerely,

Gashaw W. Lake, Ph.D.
(former Dean of College of Professional Studies)

Cc: Dr. Debbie Thomas
Dr. Elaine Farris
Cheryl Dunn

VITA
Gashaw W. Lake, Ph.D.

PRESENT POSITION:

Kentucky State University
Professor of
Public Administration
School of Public Affairs
Frankfort, Kentucky 40601

ACADEMIC DEGREES:

University of Oklahoma
Norman, Oklahoma
Ph.D. in Philosophy of Education 1981
Major subfields
Dissertation: "The Addis Ababa Conference Implications
for Inter- African Cooperation in Education, 1961-1979"

University of Oklahoma
Norman, Oklahoma
Master of Public Administration, 1975
Major: Policy Analysis
Minor: International Politics

Saginaw Valley State College
Saginaw, Michigan
Bachelor of Arts, 1974
Major: Political Science
Minor: Philosophy (High Distinction)

Haile Selassie First Secondary School
Addis Ababa, Ethiopia
Diploma, June 1968

Chairman, Northwest Classen High School Computer
Language Lab/ESL Bilingual Department
Faculty Advisor & Human Relations, Red Cross, Black
History Club Committee Member, 1985

President, Coalition of African Unity, Inc. in North America,
1985

President, Ethiopian Refugee Community, Oklahoma City,
1985

CONSULTANT:

Development of Ethiopian Refugee Community
Oklahoma City, 1985
African Management Development
Conference
Oklahoma City, 1986
Educational Development for East Africa
Developed guideline and policies
Problems of Urban Development

CONSULTANT
1985-86

Adjunct Professor
Langston University
Oklahoma City
Urban Research Center

RESPONSIBILITIES:

Conducted the following Undergraduate
classes: Philosophy of Education, Logic,
Ethics, Research Methods, Statistics,
International Education, African
Sub-Saharan Politics

PROFESSIONAL EXPERIENCE:

1986 - PRESENT

Kentucky State University
Professor
Undergraduate Coordinator
School of Public Affairs
Frankfort, Kentucky 40601

RESPONSIBILITIES:

To coordinate and conduct the operation
of the Undergraduate School of Public
Affairs: RECRUITMENT, scheduling of
classes to be offered, review students'
applications, evaluate the standing
policy of academic needs.

1986 - 1987

Kentucky State University
Assistant Professor of Public Affairs
Frankfort, Kentucky 40601

RESPONSIBILITIES:

Conducted the following Undergraduate and Graduate Courses: Introduction to Public Administration, Organization Theory and Behavior, Organization Development, Comparative Administration, Policy Analysis, Research Methods, comparative Economics, Senior Seminar, Research Method, Program Evaluation.

UNIVERSITY SERVICE
ASSIGNMENTS:

1986 - 1988 Mentor
Student Affairs Council

1987 - 1988 Faculty Senate Committee
of Professional Concerns
Committee
Institutional Purpose
Self Study Committee
Member of the Black History
Committee

1989 - 1991 Distinguished Professor
Selection Committee
Athletic Committee
Curriculum Committee,
School of Public Affairs
University Testing Committee
KSU Representative on Atlantic Council

African-American History Committee

Public Affairs Search Committee
Library Liaison for School Public Affairs
Chairperson, Grievance Hearing Committee

1991-92 Chairperson, Distinguished Professor Award

Chair, Library Accreditation Committee 1992-1997
Kentucky State University

University-Wide Examination Committee

Special Events Committee

Coordinator of Undergraduate
Programs

Chair, International Studies Committee 1993-1995

Chair, Ad Hoc Library Tenure and Promotion
Committee 1993

Member of Institutional and External
Scholarships, Awards, and Prizes
Committee 1993

University Tenure and Promotion Committee
1993 to 1997

Academic Appeals Committee 1993

Search Committee for Literature, Languages
and Philosophy Chairperson 1993

Chair, Search Committee for Public Administration-1995

Co-Chair of Whitney Young Statue
1990-1993

Chair, Student Development Service and Athletics Committee
(SACS 1997)

Presidential Search Committee
1997-1998

Chair, Electronic Communications Systems Committee
October 1998

Admission and Recruitment Committee
November 1998

International Studies Committee
November 1998

Chair, Faculty Research Committee
December 1998

RESPONSIBILITIES:

Conducted the following Undergraduate classes: Philosophy of Education, Logic Ethics, Research Methods, Statistics, International Education, African Sub-Saharan Politics

TEACHING EXPERIENCE:

1985-1986

Adjunct Professor
Langston University
Oklahoma City
Urban Research Center

1984-1985

Chairman Classes High School Computer Language Lab/ESL Bilingual Department Faculty Advisor and Human Relations Committee Member

1983-1984

Bilingual Teacher
Horace Mann Elementary School
Oklahoma City, Oklahoma

1982-1983

Wilson Elementary School
Kindergarten - 4th Grade
Oklahoma City, Oklahoma

1981-1982

Oklahoma City Public School
Adult Education Instructor

TEACHING INTERESTS:

Comparative Administration
Sub-Saharan African Politics
Theory of Organization
International Public Administration
Public Policy
Public Administration
Political Science
Bureaucracy in Developing Countries

Seminar in Comparative Political
Systems
Political Economy of Latin America
International Relations
Readings in Communist Political Systems

Public Policy
Energy Policy and Public Policy
Seminar in Public Policy
Readings in Public Policy

RESEARCH INTERESTS: Human Value in Education
UNESCO's Contribution to Educational Development
Political Development in Developing Countries

PROFESSIONAL
AFFILIATIONS: Southwestern Philosophy of Education
Society
Kentucky Political Science Association
NASPAA
American Society for Public
Administration

RECENT PUBLIC SERVICE

Participated in the production of the Homecoming Parade.

Active member of Frankfort Chapter of UNA/USA. Arranged for numerous UNA/USA speakers to appear on campus at KSU. And also presented occasional papers at UNA/USA Public meetings- 1987; 1991, 1994.

Coordinated School of Public Administration Colloquia.

Presided at Colloquia with Kentucky's Lt. Governor Paul Patton, who spoke on "Kentucky Economic Development in Kentucky."

Coordinate School of Public Administration colloquia. Presided at colloquium by; Kentucky's Governor Paul Patton (was Lt. Governor at time of colloquium) who spoke on "Kentucky Economic Development in Kentucky." Presided at colloquia by Vic Hellard, "Ramblings of a Political Appointee".

Vita
Gashaw W. Lake
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Executive Director, Legislative Research commissions, KSU, ASB, March 30, 1995.

Presided at colloquium by Dr. Ginny Wilson, Legislative Research Commissions, Staff Economist. "Policy Research in an Applied Setting: The Case of the Uninsured in Kentucky." KSU, October 14, 1993.

Board member, Christian Coalition (Soup Kitchen), Frankfort, Kentucky. 1994-Present.

Board member, Sunshine Center (Center for Abused Children), Frankfort, Kentucky, 1992-97.

The Whitney M. Young, Jr., Statue Proposal made to KSU Alumni Executive 1991. As a result my proposal was adopted as an Alumni project of the National Association.

Academic Associates of the Atlantic Council KSU Representative, 1991-Present.

Chair, Bridge of Hope. KSU Faculty and Staff Ad-Hoc committee to raise funds for Oklahoma City Bombing victims-\$2,000.00.

GRANT WRITING

Drafted the original proposal for Director-cooperative Pilot Network Leadership Training with the Association of African universities: Enhancing African Studies Program at KSU in the amount of \$20,000 (pending).

Drafted as Project Co-Director for the 1995-97 IIPP Institutional Resource Development Grant sponsored by the United Negro College Fund, entitled: "Enhancing International Program at Kentucky State University", in the amount of \$30,000. This grant is funded and currently directed by Dr. Patel.

PRESENTATIONS OF PROFESSIONAL PAPERS:

"County Residents' Views about the community Education Program: A Public Opinion Research in Franklin County", School of Public Affairs, KSU, December 1986.

"African Political Elites: A Comparative Analysis". The School of Public Affairs, KSU, August 1987.

"The Effect of Economic Sanctions on Apartheid and the Black South African Participation" presentation to Frankfort, Kentucky UNA/USA, 1987.

Success in Higher Education, American Council of Education, October 1991, Atlanta, Georgia.

Directed professional project (MPA) for Gladys T. Johnson on Kentucky State government Application Process Manual (to be published by Kentucky Department of Personnel).
1992

“Orientations Toward Merged Polity: A 1988 Survey of Franklin county Residents’ Views on city-County Merger” Public Policy Research. KSU, November 1, 1988.

“Training Needs of Women Managers.” A paper prepared for presentation at the 21st Annual Southeastern conference on Public Administration, October 4-6, 1989, Jackson, Mississippi.

"Managerial Training Needs: An Analysis of the Perception of Women Administrators in Kentucky", Public Administration Briefing, February 1990, Vol. 1, No. 2.

"Perestroika in Communism: Public Policy Option for Ethiopia" A paper presented at the 29th Annual meeting of the Kentucky Political Science Association, March 2-3, 1990, Kentucky State University, Frankfort, Kentucky.

"Political Culture and Public Administration," a paper presented at Indiana State University sponsored by NASPAA September 28, 1993.

“African Ethics: An African Perspective.” Distinguished Minority Scholar Lecture Series. Indiana State University. April 11, 1996.

Conventional Arms and Deadly Conflict-The UNA-USA Frankfort Chapter-Global Policy Project Report- Frankfort, March 19, 1998.

"Patterns of Bureaucratic Structure in Ethiopia," a paper presented at the annual meeting of Anthropologists and Sociologists of Kentucky, October 9, 1993 at Pikeville, Kentucky.

“Political Aspiration and Political Development in Ethiopia. Ethiopian Forum, Washington, D.C., July 1998.

Radio Talk on “Current Ethiopian Political Problems, Washington, D.C., July 1998.

Frankfort High School Speech Delivery on October 23, 1998, “To Be Educated is to Be Free and Responsible”.

African Public Administration: A Bibliography, Illinois: Vance
Bibliographies 1989

Apartheid Revisited, Ethiopian Case, Ethiopian Case. Ethiopian Register, 1996.

Ethiopian Political Elite manuscript in progress

International Public Personnel Administration, Illinois: Vance Bibliographies 1989

"Middle East Public Administration Bibliography", 1996. (Completed Manuscript).

"Ethiopian Poetry to Moresh," Ethiopian Journal, Minnesota, 1992. (5 Poems)

Ethiopian Poetry to TUAH-Ethiopian American Journal, Los Angeles, California, 1995.

Presented a poem in honor of the Whitney Young for the KSU public. Entitled "The Statue",
Kentucky State University Homecoming, October 1998.

Poems Beyond the Sea HY International Printing Enterprise 2013, Addis Ababa ,Ethiopia

BOOK REVIEW:

Felix A. Nigro & Lloyd G. Nigro, Modern Public Administration (8th ed.), Summer 1993.

Adventures in Public Administration. Harper Collins College Publisher, 1995

Nelson Mandela (1994), Long Walk to Freedom-Little Brown Boston-558 pages. Journal of
Caribbean Studies, 1997.

Marissa Martino Golden(2000), What Motivates Bureaucrats? Politics and Administration
during the Regan Years. Columbia University Press New York

ELECTED AND HONORARY APPOINTMENTS

Who 's who Among America' teachers Id#0605319 June 28,2002 Publication
Nominee, Kentucky State University's Distinguished Professor Award, 1993-1994.

President, Ethiopian Community in Kentucky, 1992 to present.
Instructor of Faculty, U.S. Department of Education, Title III, IGS Faculty Training
Institute - IGS 300; African Studies, Kentucky State University, May, 1987.

Selected participant faculty, U.S. Department of Education, Title III, IGS Faculty Training
Institute - IGS 200; Foundation of Western Culture, Kentucky State University, May, 1994.

Grand Officer of the Imperial Order of the Ethiopian Lion. The bestowal is made in recognition
of distinguished work in supporting the cause of Human Rights and Ethiopian Unity.

Vita

Gashaw W. Lake

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Chair-Co-coordinating Committee of All Amhara Peoples Organization in USA. 1998-2008.

Vice-Chair of the External Bureau of All Amhara Peoples Organization/Africa, Australia, Europe and USA.

WORK IN PROGRESS

Human Rights in Ethiopia and in the Horn of East Africa-(on progress), 2015.

Ethiopian and the Dynamics of Structural Adjustment. (on progress)



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: Affirmation of Academic Program Closures
FROM: Office of Academic Affairs
ACTION ITEM: Yes

BACKGROUND: The Presidential Green Ribbon Commission on Academic Prioritization and Budget Alignment delivered its final report on April 30, 2018. The Commission recommended that twelve programs be enhanced, four programs be consolidated, one program be suspended, and four programs be eliminated.

The four recommended for elimination are:

- Fine/Studio Arts
- Africana Studies
- Public Administration (Baccalaureate)
- Spanish

SUMMARY OF PROGRAMS/ACTIVITIES: N/A

ALIGNMENT WITH STRATEGIC GOALS: Goal 2 – Achieve Academic Excellence Across all Programs and Colleges, Increase Student General Education Skills, Degree Persistence, Career Readiness and Graduation Rates.

Targeted program realignments allow the University to invest scarce resources in high production programs. State funding reductions and increased pension costs require resources be redirected to higher demand programs.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A



KENTUCKY STATE UNIVERSITY

Board of Regents

FISCAL IMPLICATIONS: Final savings will depend on any costs required to teach out current students. As the proposed University budget envisions approximately 40 staff reductions in fiscal year 2018-19, savings from these program closures will help offset other reductions.

ATTACHMENTS: N/A

RECOMMENDATION:

- Approve suspension of the Applied Technology Program
- Approve elimination of the following programs:
 - Fine/Studio Arts
 - Africana Studies
 - Public Administration (Baccalaureate)
 - Spanish



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: Academic Growth and Innovative Structure
FROM: Office of Academic Affairs
ACTION ITEM: Yes

BACKGROUND: The Kentucky State University Office of Academic Affairs is proposing to restructure its academic units in an effort to remove barriers to collaboration and communication and increase efficiencies between departments, schools, and colleges. Under the proposed plan, several current units will be renamed and restructured. The proposed structure is designed to better support existing degree programs and general education, and to provide context for the establishment of new academic offerings.

The proposed academic structure provides structural and operational expansion and reorganization of academic units or functions in order to achieve a performance based outcome that exceeds what could be achieved with the current organization. Over the past decade the academic structure, college names, and departmental clusters have been in continual flux. The Office of Academic Affairs has worked in tandem with the faculty and academic deans to propose a stable academic structure for Kentucky State University.

This academic structure is essential to ensure Kentucky State University has properly aligned its academic programs and administration in a manner, scope, and sequence allowing the University:

- To have distinctive programs that provide high-quality education;
- To attract top student scholars and faculty;
- To produce prepared, high-quality graduates and achieve academic excellence across all programs and colleges.



KENTUCKY STATE UNIVERSITY

Board of Regents

SUMMARY OF PROGRAMS/ACTIVITIES: In accordance with The Gold Book: Bylaws of the Kentucky State University Board of Regents, the President recommends to the Board of Regents an administrative structure and plan of organization needed for the successful conduct of the University.

Current List of Deans Reporting to the Provost – AY 2017

1. Dean, College of Agriculture, Food Science, and Sustainable Systems
2. Dean, College of Humanities and Social Sciences
3. Dean, College of Professional Studies

Proposed List of Deans Reporting to the Provost – AY 2018

1. Dean, College of Agriculture, Communities, and the Environment
2. Dean, College of Business and Computational Sciences
3. Dean, College of the Humanities and Social Sciences
4. Dean, College of Natural, Applied, and Health Sciences
5. Dean, College of Public Service and Leadership Studies

This proposed academic structure promotes interdisciplinary engagement and supports the articulated development of the SACS Quality Enhancement Plan (QEP). While creating efficiencies and improving the alignment of academic majors, the stabilized academic structure has the potential for enhancing traditional majors alongside innovative inter-departmental programs for better response and adjustment to the demands of the job market. The administrative structure frames each academic major to ensure a rigorous general education foundation, focused on developing strong written and oral communication skills with interpersonal skills and creativity in problem solving skills that can lead to gainful employment.

Three primary considerations served as guiding criteria during the academic stabilization process - efficiency, growth, and excellence.

1. The efficiency criterion prioritizes the creation of synergy among programs, faculty, and students.
2. The growth criterion provides each college and unit with a likelihood of increased enrollment and higher retention and graduation rates.



KENTUCKY STATE UNIVERSITY

Board of Regents

3. The excellence criterion establishes intra-college options for securing new sources of extramural funding, possibilities for new research consortia, and a dynamic attractive to high caliber graduate students.

ALIGNMENT WITH STRATEGIC GOALS:

Goal 1— Enhance student enrollment, improve student life and engagement, and improve student advising and career development.

The academic growth and innovative structure provides new and improved collaborative research, teaching, and service opportunities for faculty and students. Even more, it portends the anticipated development of new academic majors. New programs (particularly, at the graduate level) will serve as a magnet for faculty, students, stakeholders, industry, and government.

Goal 2 – Achieve academic excellence across all programs and colleges, increase student general education skills, degree persistence, career readiness and graduation rates.

This structure supports the requisite curricular requirements of each of the existing Kentucky State University degree majors, Council on Postsecondary Education guidelines for general education, and provides rich context to the establishment of new academic offerings. Each of the academic college configurations results in significantly increased benefits and opportunities.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: The proposed academic structure will lead to the organization of Kentucky State University Schools, Departments, and Programs organized by College.

FISCAL IMPLICATIONS: N/A



KENTUCKY STATE UNIVERSITY

Board of Regents

ATTACHMENTS:

- Kentucky State University Current Colleges, Proposed Colleges, and Colleges at CPE Universities
- CPE Approved Kentucky State University Programs
- Proposed Schools, Departments, and Programs Organized by College

RECOMMENDATION: Approve the proposed academic structure.

*** COLLEGE OF AGRICULTURE, COMMUNITIES, AND THE ENVIRONMENT**

School of Aquaculture and Aquatic Sciences

M.S. in Aquaculture and Aquatic Sciences

School of Agriculture, Food, and Environment

B.S. in Agriculture, Food, and Environment

- Certificate in Agriculture Business Management
- Certificate in Aquaculture
- Certificate in Elements of Fashion and Home Decor
- Certificate in Environmental Measurements
- Certificate in Family and Consumer Science
- Certificate in Food Safety
- Certificate in Geospatial Technology Application
- Certificate in Human Health and Nutrition
- Certificate in Sustainable Agricultural Systems

M.S. in Environmental Studies

School of Family and Consumer Sciences

B.A. in Child Development and Family Relations

GROWTH OPPORTUNITIES: Nutrition (B.S.), Community Development (M.S.), Agricultural Leadership (D.Sc.)

*** COLLEGE OF BUSINESS AND COMPUTATIONAL SCIENCES**

School of Business and Technology

B.A. in Business Administration

B.S. in Applied Information Technology

M.B.A. in Business Administration

School of Mathematics and Computer Science

B.A. in Mathematics

B.S. in Computer and Information Sciences

- Certificate in Computer Technician
- Certificate in Cybersecurity
- Certificate in Network Associate
- Certificate in Network Professional

M.S. in Computer and Information Sciences

GROWTH OPPORTUNITIES: Executive Masters of Business Administration (E.M.B.A.), Cybersecurity (B.S., M.S.), General Robotics (B.S.), Digital Arts (B.S.)

*** COLLEGE OF THE HUMANITIES AND SOCIAL SCIENCES**

School of the Humanities and Performing Arts

A.A. in Liberal Studies

B.A. in Africana Studies

B.A. in Communication and Journalism

B.A. in English Language and Literature

B.A. in Fine/Studio Arts

B.A. in Liberal Studies

B.A. in Music

- Certificate in Music Industry and Production

- Certificate in Music and Culture of the African Diaspora

B.M. in Music Performance

B.M.E. in Music Education

B.A. in Spanish Language and Literature

School of the Social and Behavioral Sciences

B.A. in Psychology

B.A. in Social Sciences

M.A. in Interdisciplinary Behavioral Science

GROWTH OPPORTUNITIES: Museum Studies (B.S.), Sociology (B.A.)

*** COLLEGE OF NATURAL, APPLIED, AND HEALTH SCIENCES**

School of Natural Sciences

B.S. in Biology

B.S. in Chemistry

School of Nursing and Health Sciences

A.A.S. in Nursing ADN

B.S. in Nursing RN-to-BSN

D.N.P. in Nursing Practice

GROWTH OPPORTUNITIES: Healthcare Management (A.S., B.S.), Phlebotomy (Cert.)

*** COLLEGE OF PUBLIC SERVICE AND LEADERSHIP STUDIES**

School of Education and Human Development

B.A. in Elementary Education and Teaching

B.A. in Physical Education Teaching

B.S. in Physical Education Teaching

M.A. in Special Education with Learning and Behavior Disorders

School of Government, Policy and Justice Studies

B.A. in Criminal Justice

B.A. in Political Science

School of Public Administration

B.A. in Public Administration

M.P.A. in Public Administration

School of Social Work

B.A. in Social Work

M.A. in Gerontology

GROWTH OPPORTUNITIES: Exercise and Sports Science (B.S.), Early Childhood Education (B.S.), Urban Education (M.Ed.), Leadership Studies (M.S.), Sports Management (B.S.), Sports Leadership (M.S.), Educational Leadership (Ed.S).

REPURPOSED:

* Whitney Young Honors Collegium

Program ID	Program Title	Degree Level	Degree Designation	Program Status	CIP	Processing Status
1694	*Africana Studies	Baccalaureate	BA	Active (A)	5.0101	APPROVED
9891	*Agriculture, Food, and Environment	Baccalaureate	BS	Active (A)	1	APPROVED
13924	*Agriculture, Food, and Environment Certificate in Agriculture Business Management	Undergraduate Certificate < 1 Year	UCERT1	Active (A)	1.0101	
13927	*Agriculture, Food, and Environment Certificate in Elements of Fashion and Home Decor	Undergraduate Certificate < 1 Year	UCERT1	Active (A)	19.0601	
13925	*Agriculture, Food, and Environment Certificate in Environmental Measurements	Undergraduate Certificate < 1 Year	UCERT1	Active (A)	3.0104	
13926	*Agriculture, Food, and Environment Certificate in Family and Consumer Science	Undergraduate Certificate < 1 Year	UCERT1	Active (A)	19.0101	
13928	*Agriculture, Food, and Environment Certificate in Food Safety	Undergraduate Certificate < 1 Year	UCERT1	Active (A)	1.1099	
13929	*Agriculture, Food, and Environment Certificate in Geospatial Technology Application	Undergraduate Certificate < 1 Year	UCERT1	Active (A)	3.0206	
13930	*Agriculture, Food, and Environment Certificate in Human Health and Nutrition	Undergraduate Certificate < 1 Year	UCERT1	Active (A)	19.0501	
13931	*Agriculture, Food, and Environment Certificate in Sustainable Agriculture Systems	Undergraduate Certificate < 1 Year	UCERT1	Active (A)	1.0308	
1703	*Applied Information Technology	Baccalaureate	BS	Active (A)	11.0103	APPROVED
1756	*Aquaculture and Aquatic Sciences	Master's	MS	Active (A)	1.0303	APPROVED
1726	*Biology	Baccalaureate	BS	Active (A)	26.0101	APPROVED
1748	*Business Administration	Baccalaureate	BA	Active (A)	52.0201	APPROVED
1761	*Business Administration	Master's	MBA	Active (A)	52.0201	APPROVED
1728	*Chemistry	Baccalaureate	BS	Active (A)	40.0501	APPROVED
1722	*Child Development and Family Relations (Non Teaching)	Baccalaureate	BA	Active (A)	19.0701	APPROVED

1701	*Communication and Journalism	Baccalaureate	BA	Active (A)	9.0499	APPROVED
1702	*Computer and Information Sciences	Baccalaureate	BS	Active (A)	11.0101	APPROVED
1757	*Computer and Information Sciences	Master's	MS	Active (A)	11.0101	APPROVED
13932	*Computer Technician	Undergraduate Certificate < 1	UCERT1	Active (A)	11.0103	
1730	*Criminal Justice	Baccalaureate	BA	Active (A)	43.0104	APPROVED
13935	*Cyber Security	Undergraduate Certificate < 1	UCERT1	Active (A)	11.0101	
10312	*Doctor of Nursing Practice	Doctor's Degree Professional	DNP	Active (A)	51.3802	APPROVED
1679	*Drafting	Associate	AAS	Inactive (Closed)	15.1301	APPROVED
1677	*Electronic Technology	Associate	AAS	Inactive (Closed)	15.0303	APPROVED
1724	*English Language and Literature	Baccalaureate	BA	Active (A)	23.0101	APPROVED
1739	*Fine/Studio Arts	Baccalaureate	BA	Active (A)	50.0702	APPROVED
1753	*History	Baccalaureate	BA	Inactive (Closed)	54.0101	APPROVED
10311	*Interdisciplinary Behavioral Science	Master's	MA	Active (A)	42.2812	APPROVED
1683	*Liberal Studies	Associate	AA	Active (A)	24.0101	APPROVED
1725	*Liberal Studies	Baccalaureate	BA	Active (A)	24.0101	APPROVED
1716	*Manufacturing Technology	Baccalaureate	BS	Inactive (Closed)	15.0613	APPROVED
1752	*Marketing	Baccalaureate	BA	Inactive (Closed)	52.1401	APPROVED
1727	*Mathematics	Baccalaureate	BA	Active (A)	27.0101	APPROVED
1741	*Music	Baccalaureate	BA	Active (A)	50.0901	APPROVED
13936	*Music and Culture of the African Diaspora	Undergraduate Certificate < 1 Year	UCERT1	Active (A)	50.0905	
1713	*Music Education	Baccalaureate	BME	Active (A)	13.1312	APPROVED
13937	*Music Industry and Production	Undergraduate Certificate < 1	UCERT1	Active (A)	10.0203	
1742	*Music Performance	Baccalaureate	BM	Active (A)	50.0903	APPROVED
13933	*Network Associate	Undergraduate Certificate < 1	UCERT1	Active (A)	11.0103	
13934	*Network Professional	Undergraduate Certificate < 1	UCERT1	Active (A)	11.0103	
1680	*Nursing	Associate	AAS	Inactive (Closed)	51.3801	APPROVED
1692	*Nursing ADN	Associate	AAS	Active (A)	51.3801	APPROVED
1746	*Nursing RN-to-BSN	Baccalaureate	BS	Active (A)	51.3801	APPROVED
1745	*Nursing, General	Baccalaureate	BS	Inactive (Closed)	51.1699.	
1714	*Physical Education Teaching	Baccalaureate	BA;BS	Active (A)	13.1314	APPROVED
1736	*Political Science	Baccalaureate	BA	Active (A)	45.1001	APPROVED
1729	*Psychology	Baccalaureate	BA	Active (A)	42.0101	APPROVED
1735	*Social Sciences	Baccalaureate	BA	Active (A)	45.0101	APPROVED

1758	*Special Education with Learning and Behavior Disorders	Master's	MA	Active (A)	13.1001	APPROVED
1704	*WEB/MULTIMEDIA MGT	Baccalaureate	BS	Inactive (Closed)	11.1004	
1696	Accounting	Baccalaureate	BA	Inactive (Closed)	52.0301	APPROVED
1749	Accounting	Baccalaureate	BA	Inactive (Closed)	52.0301	APPROVED
1673	Accounting, Bookkeeping, and Related Programs, General	Associate	AAS	Inactive (Closed)	7.0101	
1690	Airline/Commercial/Professional Pilot and Flight Crew	Associate	AAS	Inactive (Closed)	49.0102	
1723	Apparel and Textiles, General	Baccalaureate	BA	Inactive (Closed)	19.0901	
1715	Applied Science - Engineering Transfer	Baccalaureate	BS	Inactive (Closed)	14.9999.	
12041	Aquaculture	Undergraduate Certificate < 1	UCERT1	Active (A)	1.0303	
1708	Art Teacher Education	Baccalaureate	BA	Inactive (Closed)	13.1302	
1695	Business and Management, General	Baccalaureate	BA	Inactive (Closed)	6.0101	
1698	Business Economics	Baccalaureate	BA	Inactive (Closed)	6.0501	
1710	Business Education	Baccalaureate	BA	Inactive (Closed)	13.1303.	
1709	Business Teacher Education	Baccalaureate	BA	Inactive (Closed)	13.1303	APPROVED
1747	Business/Commerce, General	Baccalaureate	BA	Inactive (Closed)	52.0101	APPROVED
1751	Business/Managerial Economics	Baccalaureate	BA	Inactive (Closed)	52.0601	APPROVED
1681	Child Care Provider/Assistant	Associate	AAS	Inactive (Closed)	19.0709	
1743	Clinical Laboratory Science/Medical Technology/Technologist	Baccalaureate	BS	Inactive (Closed)	51.1005	
1685	Criminal Justice Technology	Associate	AAS	Inactive (Closed)	43.0105	
1686	Criminal Justice/Police Science	Associate	AAS	Inactive (Closed)	43.0107	
1731	Criminal Justice/Police Science	Baccalaureate	BA	Inactive (Closed)	43.0107	APPROVED
1675	Data Processing and Data Processing Technology/Technician	Associate	AAS	Inactive (Closed)	11.0301	
1676	Early Childhood Education and Teaching	Associate	AAS	Inactive (Closed)	13.121	
1706	Elementary Education and Teaching	Baccalaureate	BA	Active (A)	13.1202	APPROVED
1755	Environmental Studies	Master's	MS	Active (A)	3.0103	APPROVED
1693	Executive Assistant/Executive Secretary	Associate	AAS	Inactive (Closed)	52.0402	

1750	Executive Assistant/Executive Secretary	Baccalaureate	BS	Inactive (Closed)	52.0402	APPROVED
1711	Family and Consumer Sciences/Home Economics Teacher Education	Baccalaureate	BS	Inactive (Closed)	13.1308	
1687	Fire Protection and Safety Technology/Technician	Associate	AS	Inactive (Closed)	43.0201	
1721	Foods, Nutrition, and Wellness Studies, General	Baccalaureate	BS	Inactive (Closed)	19.0501	
1718	French Language and Literature	Baccalaureate	BA	Inactive (Closed)	16.0901	
9887	Gerontology	Master's	MA	Active (A)	30.1101	APPROVED
1707	Junior High/Intermediate/Middle School Education and Teaching	Baccalaureate	BA	Inactive (Closed)	13.1203	
1684	Library Assisting	Associate	AAA	Inactive (Closed)	25.0301	
1697	Management	Baccalaureate	BA	Inactive (Closed)	06.0401.	
1678	Manufacturing Technology/Technician	Associate	AAS	Inactive (Closed)	15.0613	
1717	Manufacturing Technology/Technician	Baccalaureate	BS	Inactive (Closed)	15.0613	
1699	Marketing Management	Baccalaureate	BA	Inactive (Closed)	6.1401	
1720	Medical Technology	Baccalaureate	BS	Inactive (Closed)	17.031	
1705	Microcomputers	Baccalaureate	BS	Inactive (Closed)	11.9999.	
1744	Nursing (Post RN)	Baccalaureate	BSN	Inactive (Closed)	51.1601.	
1691	Nursing (RN Training)	Associate	AS	Inactive (Closed)	51.1601.	
1688	Public Administration	Associate	AA	Inactive (Closed)	44.0401	
1689	Public Administration	Associate	AA	Inactive (Closed)	44.0401.	
1733	Public Administration	Baccalaureate	BA	Inactive (Closed)	44.0401.	APPROVED
1732	Public Administration	Baccalaureate	BA	Active (A)	44.0401	APPROVED
1760	Public Administration	Master's	MPA	Inactive (Closed)	44.0401.	
1759	Public Administration	Master's	MPA	Active (A)	44.0401	APPROVED
1672	Real Estate	Associate	AAS	Inactive (Closed)	6.1701	
1674	Secretarial	Associate	AAS	Inactive (Closed)	7.0606	
1700	Secretarial	Baccalaureate	BS	Inactive (Closed)	7.0606	
1754	Secretarial	Undergraduate Certificate < 1	C	Inactive (Closed)	7.0606	
1734	Social Work	Baccalaureate	BA	Active (A)	44.0701	APPROVED
1737	Sociology	Baccalaureate	BA	Inactive (Closed)	45.1101	APPROVED
1719	Spanish Language and Literature	Baccalaureate	BA	Active (A)	16.0905	APPROVED

1740	Studio Art	Baccalaureate	BA	Inactive (Closed)	50.0799.	
1712	Technology Teacher Education/Industrial Arts Teacher Education	Baccalaureate	BS	Inactive (Closed)	13.1309	
1738	Visual and Performing Arts, General	Baccalaureate	BA	Inactive (Closed)	50.0101	APPROVED

Kentucky State University Current Colleges, Proposed Colleges & Colleges at CPE Universities

KSU Proposed Colleges - AY 2018	Eastern Kentucky University	Morehead State University	Murray State University	Northern Kentucky University	University of Kentucky	University of Louisville	Western Kentucky University
College of Agriculture, Communities, and the Environment			Hutson School of Agriculture		College of Agriculture, Food & Environment		
School of Agriculture, Food and Environment							
School of Family Studies							
School of Aquaculture							
College of Humanities and Social Sciences	College of Letters, Arts, and Sciences	Caudill College of Arts, Humanities & Social Sciences	College of Humanities & Fine Arts	College of Arts & Sciences	College of Arts & Sciences	College of Arts & Sciences	Potter College of Arts & Letters
School of the Social Sciences	Dept/ Anthropology, Sociology, & Social Work	Depts: History, Philosophy, Politics, International Studies & Legal Studies; Sociology, Social Work & Criminology; Military Science	Depts: History; Political Science & Sociology; Psychology		Martin School of Public Policy and Administration	21 Departments	Depts: Art; Communication; English; Folk Studies & Anthropology; History; School of Journalism & Broadcasting; Modern Languages; Music; Philosophy & Religion; Political Science; Sociology; Theatre & Dance
School of the Humanities	School of Music	Depts: Art & Design; Communication, Media and Languages; English; Music, Theatre & Dance	Depts: Art & Design; English; Global Languages & Theatre Arts; Liberal Arts; Music		College of Social Work	Kent School of Social Work	
					College of Fine Arts	School of Music	
					College of Design		

College of Business and Computational Science	College of Business & Technology	College of Business & Technology	Arthur J. Bauernfeind College of Business	Haile/US Bank College of Business	Gatton College of Business & Economics	College of Business	Gordon Ford College of Business
School of Business	School of Business	Depts: Accounting & Finance; Mangaement & Marketing	Depts: Accounting; Economics & Finance; Journalism & Mass Communications; Management, Marketing & Business Administration; Organizational Communication		College of Communication and Information	Schools: Accountancy; Computer Information Systems; Econmoics; Entrepreneurship; Equine Industry; Finance; Management; Marketing	Depts: Accounting; Economics; Finance; Information Systems; Managemnet; Marketing; MBA
School of Mathematics and Computer Science	School of Applied Sciences & Technology	Depts: Computer Science & Information Systems; Engineering & Technology Mangaement	Depts: Computer Science and Information Systems	College of Informatics	College of Engineering	School of Engineering	Ogden College of Science & Engineering
							Depts: Agriculture; Biology; Chemistry; Geography & Geology; Mathematics; Physics & Astronomy; Psychological Sciences; School of Engineering and Applied Sciences

College of Human Ecology and Public Services	College of Education	College of Education	College of Education & Human Services	College of Education & Human Services	College of Education	College of Education & Human Development	College of Education & Behavioral Sciences
School of Education, Human Development, and Kinesiology	School of Clinical Educator Preparation	Depts: Early Childhood, Elementary & Special Education; Foundational & Graduate Studies in Education; Middle Grades & Secondary Education; Master of Arts in Teaching	Depts: Adolescent, Career & Special Education; Center for Communication Disorders; Community Leadership & Human Services; Early Childhood & Elementary Education; Educational Studies, Leadership and Counseling; Kentucky Academy of Technology Education; Teacher Education Services; Teacher Quality Institute		Depts: Curriculum & Instruction; Kinesiology & Health Promotion; STEM Education; Early Childhood, Special Education & Rehabilitation Counseling; Educational Policy Studies & Evaluation; Educational Leadership; Educational, School & Counseling Psychology; Academic & Student Services	Programs: Counseling & Human Development; Health & Sport Sciences; Educational Leadership, Evaluation & Organizational Development; Early Childhood & Elementary Education; Middle & Secondary Education; Special Education	Depts: Counseling & Student Affairs; Educational Administration Leadership and Research; Military Science; Psychology; School of Teacher Education
School of Government and Justice Administration	College of Justice and Safety						
School of Social Work							

College of Natural, Applied, and Health Sciences		College of Science	Jesse D. Jones College of Science, Engineering and Technology	College of Health Professions	College of Health Sciences	School of Public Health and Information Sciences	College of Health & Human Services
School of Natural Sciences	College of Science	Depts: Agricultural Sciences; Biology and Chemistry; Earth and Space Science; Kinesiology, Health, and Imaging Sciences; Mathematics and Physics; Nursing; Psychology; UK/MSU Physician's Assistant Program	Depts: Biological Science; Chemistry; Geosciences; Institute of Engineering; Mathematics & Statistics; Telecommunications Systems Management; Occupational Safety & Health; Watershed Institute		College of Nursing	School of Nursing	Depts: Allied Health; Communication Disorders; Consumer and Family Sciences; Kinesiology, Recreation & Sport; School of Nursing; Physical Therapy; Public Health; Social Work
School of Nursing and Health Sciences	College of Health Sciences		School of Nursing and Health Professions		College of Pharmacy		
				College of Law	College of Law	Brandeis School of Law	
				School of the Arts - SOTA	College of Medicine	School of Medicine	
					College of Dentistry	School of Dentistry	
					College of Public Health		University College



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018

SUBJECT: Creation of Center for Economic Education and Financial Literacy

FROM: Office of Academic Affairs

ACTION ITEM: Yes

BACKGROUND: This proposal to establish a self-supporting and interdisciplinary Center for Economic Education and Financial Literacy at the Kentucky State University School of Business and Technology in the new College of Business and Computational Science is in response to the Kentucky House Bill 132 passed on March 12, 2018, requiring public school students in the Commonwealth of Kentucky to satisfy a financial literacy requirement before they can graduate. In addition, this is also a response to the new Southern Association of Colleges and Schools Commission on Colleges (SACSCOC) standard (12.6), which requires institutions to provide information and guidance to help students make critical decisions with regards to personal finance. Therefore, through its research and educational programming, the Center will be able to assist school systems in the Commonwealth of Kentucky in fulfilling the mandate from House Bill 132 and serve as an analytical hub using action-research application in Evidence-Based practice to support both the Kentucky State Department of Education and SACSCOC.

SUMMARY OF PROGRAMS/ACTIVITIES: The mission of the Kentucky State University Center for Economic Education and Financial Literacy will be to undertake a wide variety of educational, research and outreach programming in economic and financial literacy and life skills for school systems in Kentucky. In addition, the Center will serve as a "Think-tank" and analytical hub for the Kentucky Department of Education in charge of the administration of House Bill 132, and to assist SACSCOC to increase the efficiency of its policies and programs.



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The proposed Center is a natural venue to foster interdisciplinary work at Kentucky State University because financial literacy programs are linked to many of the disciplines on campus. Furthermore, the Center will elevate a substantial portion of its programming on projects that cut across boundaries of the University departments. The idea is for the Center to engage the campus community to promote the mission of the Center. In short, the Center will leverage its strength to expose Kentucky State University's high profile in the areas of economic and financial literacy.

The interdisciplinary nature of the Center will reflect a concerted commitment and collaborative effort to promote academic excellence at Kentucky State University. More specifically, the proposed Center can help students become better prepared to:

- A) Develop and expand their capabilities to engage in finding solutions that can improve their financial well-being; and
- B) Provide opportunities for interaction with experts in behavioral finance, economics, and financial literacy.

The interdisciplinary nature of the Center will also provide opportunities for collaborative research networks and scholarship ventures for our faculty. Finally, as an interdisciplinary enterprise, the Center will provide opportunities to strengthen the University's undergraduate and graduate curriculums.

ALIGNMENT WITH STRATEGIC GOALS:

Goal 1 – Enhance student enrollment, improve student life and engagement, and improve student advising and career development.

Research has shown that many students fail to understand basic financial concepts and that many, as a result, are either directly forced to withdraw from college or are unable to concentrate on their studies due to financial strain. The activities of the proposed Center, teaching financial management skills, helping students to build a realistic budget, and educating students on the importance of building credit to secure their financial future, will decrease this deficiency, boost retention and graduation rates, and increase student knowledge.



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Goal 2 – Achieve academic excellence across all programs and colleges, increase student general education skills, degree persistence, career readiness and graduation rates.

The proposed Center is a natural venue to foster interdisciplinary work at Kentucky State University because financial literacy programs are linked to many disciplines on campus. The Center will provide an opportunity for the University's students to acquire knowledge and skills related to basic financial literacy and life skills.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: Approval of this proposal will establish the Center for Economic Education and Financial Literacy in the School of Business and Technology in the College of Business and Computational Science at Kentucky State University.

FISCAL IMPLICATIONS: To fund its activities, the Center will compete for funding through foundations, financial institutions, and government agencies that promote economic education and financial literacy. The fundraising activities of the Center will also include marketing strategies and deliverables that are central to the benefits and outcomes of providing funding for the Center. The proposed Center will play a vital role as a major strategic tool to compete for federal, state, and foundation funds. Two of the faculty members who would be directly associated with the Center are major grant writers. Both have been former PI and Co-PI who have accounted for \$3,266,834 in funded projects over the last ten years.

ATTACHMENTS: N/A

RECOMMENDATION: Approve the establishment of the Center for Economic Education and Financial Literacy in the College of Business and Computational Science.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: Tenure and Promotion Update
FROM: Office of Academic Affairs
ACTION ITEM: No

BACKGROUND: A total of 20 Faculty members submitted comprehensive professional dossiers for consideration in accordance with university guidelines for Tenure and/or Promotion.

SUMMARY OF PROGRAMS/ACTIVITIES: Actions resulting from the Green Ribbon Commission Report, academic program closures, and changes to academic structure suggest review and approval should be postponed until changes are implemented.

ALIGNMENT WITH STRATEGIC GOALS: Goal 2 – Achieve Academic Excellence Across all Programs and Colleges, Increase Student General Education Skills, Degree Persistence, Career Readiness, and Graduation Rates.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: Enhanced Faculty achievement of excellence in research, teaching, and service.

FISCAL IMPLICATIONS: Pending Board approval, each Faculty member will receive the appropriate salary adjustment commensurate with promotion.

ATTACHMENTS: N/A

RECOMMENDATION: Information only.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018

SUBJECT: Southern Association of Colleges and Schools Commission on Colleges Update

FROM: Office of Academic Affairs

ACTION ITEM: No

BACKGROUND: Silver & Associates was engaged to assist in the development of the Kentucky State University Southern Association of Colleges and Schools Commission on Colleges (SACSCOC) Compliance Certification document for Reaffirmation of Accreditation.

The consultation includes four Training Sessions and Consultations:

1. Campus Kick-off training: Emphasis on institutional effectiveness, finance, governance and Federal Standards; success in writing responses to the standards.
2. Assisting with crafting program and student learning outcomes and college level competencies.
3. Faculty and staff credentials, curriculum, and learning resources.
4. Follow up with administrators, staff, and faculty to review the report against SACS expectations.

SUMMARY OF PROGRAMS/ACTIVITIES: The most recent draft of the SACSCOC Compliance Certification Report was submitted on May 23, 2018. The next draft is due on June 22, 2018. The final report will be submitted to SACSCOC on September 10, 2018.

ALIGNMENT WITH STRATEGIC GOALS: Goal 2 – Achieve academic excellence across all programs and colleges, increase student general education skills, degree persistence, career readiness and graduation rates.

FISCAL IMPLICATIONS: N/A

ATTACHMENTS: N/A

RECOMMENDATION: Information only.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018

SUBJECT: Media and Brand Identity Report

FROM: The Division of Brand Identity and University Relations

ACTION ITEM: No

BACKGROUND: The Quarterly Media and Brand Identity Report reveals insights into the brand reputation and position of Kentucky State University in the news and among its publics.

SUMMARY OF PROGRAMS/ACTIVITIES: Brand Identity creates content and support University programs and activities to promote, increase, advance and monitor the brand reputation of Kentucky State University while strengthening broad public and internal support of the institution.

ALIGNMENT WITH STRATEGIC GOALS: 4.a Develop a plan to continue to increase brand awareness and the image of the KSU brand.

COMMITTEE/PROGRAM ACTION: None required.

PROGRAM IMPLICATIONS: By establishing and monitoring strategic communications targeted to specific constituency groups, we will be able to advance and increase the brand position and reputation of Kentucky State University.

FISCAL IMPLICATIONS: The total quarterly value of Kentucky State University's free media and brand efforts, \$927,943.

ATTACHMENTS: Report attached.

RECOMMENDATION: Informational only.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018

SUBJECT: The Thorobred Program

FROM: The Division of Brand Identity and University Relations

ACTION ITEM: No

BACKGROUND: Strategic employee recognition fuels an environment that inspires new ideas and gives its communities victories to celebrate. At Kentucky State University, when an employee or a team achieves outstanding results — big or small — we will shine the spotlight on brand champions and their great work. The Thorobred Program: Recognizing Brand Champions is all about the — the big finish. The Thorobreds of the Month will earn membership in the Winner's Circle.

SUMMARY OF PROGRAMS/ACTIVITIES: Our goal is to build a culture that thrives and understands the relationship between great customer experiences and Kentucky State University's brand reputation. The program aims to encourage constant workplace improvements, including evaluation of processes, work flow, communication, and ways to inspire enthusiasm for victories in the hearts of our employees, believing true success happens from the inside out.

The campus community and others will be encouraged to nominate employees who deserve special recognition for going above and beyond the call of duty to deliver great customer service and advance the brand reputation of Kentucky State University. This program will recognize individuals whose service to the University provides exceptional benefits in the furtherance of the goals and mission of the institution.

ALIGNMENT WITH STRATEGIC GOALS: 4.a Develop a plan to continue to increase brand awareness and the image of the KSU brand.

COMMITTEE/PROGRAM ACTION: N/A



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PROGRAM IMPLICATIONS: The Thoroughbred Program will increase employee morale and aid in the advancement of Kentucky State University's brand position and reputation while recognizing individuals whose service to the University provides exceptional benefits in the furtherance of the goals and mission of the institution.

FISCAL IMPLICATIONS: Minor

RECOMMENDATION: Informational only.



KENTUCKY STATE UNIVERSITY

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DATE: June 7, 2018

SUBJECT: Public Engagement and Community Outreach Update

FROM: Office of Enrollment Management and Brand Identity

ACTION ITEM: No

BACKGROUND: Public Engagement is an outreach effort to grow and foster relationships with current and new stakeholders. Kentucky State University is in a forward motion to seek out community and civic opportunities which create educational opportunities that integrate engagement, partnerships, and general interest events which position us for growth.

SUMMARY OF PROGRAMS/ACTIVITIES: Kentucky State University has a clear commitment to public engagement, a strong and yet challenging effort for infusing engagement into curriculum and the teaching mission, and a forward path to foster its city, surrounding areas, and neighborhood in which it resides.

ALIGNMENT WITH STRATEGIC GOALS: Goal 4(h) – Develop and deploy a plan to enhance relationships between the University and the local community.

COMMITTEE/PROGRAM ACTION:

- Attended Frankfort community implosion of the state towers – 4000+
- Hosted Delta Tau Boule new member induction ceremony – 40+
- M. Christopher Brown II, guest speaker – MLK Memorial Service at St. Stephens Church in Louisville, KY – 200+
- Ribbon cutting ceremony – My Old Kentucky OM Yoga Center, Frankfort
- Attended Alumni Kentucky Region with updates by V.P. Clara Stamps
- The WIZ presented by Kentucky State University
- Attended 4th Annual Taste of West Louisville Scholarship program – 400+
- Hosted Kentucky 6th Congressional District Primary candidate forum



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- Hosted community-wide ON THE TABLE event – 50+
- Hosted Academic Leadership Academy through Bluegrass Higher Education Consortium (BHEC) – 40+
- Hosted breakfast for Chamber of Commerce Leadership Frankfort 2017/2018 class with presentations by College of Agriculture – 30+
- Ribbon cutting- reopening of Commonwealth Credit Union High St. location Frankfort – 75+
- Attended Salvation Army Appreciation Dinner, Kentucky State University, Sonia Sanders and Kentucky State Alpha Phi Alpha received special recognition for their volunteer efforts – 100+
- Hosted Focus on Race Relations (FORR) candidate forum
- Attended Frankfort Art Walk and Oddfellows Markey on St. Clair mall- Frankfort
- Ribbon cutting Riverboat Grill, Frankfort Boat Dock – 30+
- Attended 50 Year Anniversary celebration of the Lexington Fayette County Urban League
- Ribbon cutting Independence Bank Highway 127 South, Frankfort – 100+
- Senior Activity Center Fish Fry – 900+

PROGRAM IMPLICATIONS: Strong relationships and educational impact with city, county, and state, community and civic organizations.

FISCAL IMPLICATIONS: Unlimited

ATTACHMENTS: N/A

RECOMMENDATION: Information only; Kentucky State University dedicated website for public engagement, community outreach, and civic engagement activities.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: Fall 2018 Admissions Update
FROM: Office of Enrollment Management and Brand Identity
ACTION ITEM: No

BACKGROUND: Kentucky State University Office of Admission is under the leadership of Interim Assistant Vice President for Enrollment Management and Director of Admissions Alumna Alice Walker Watson. The office has four staff members with Kentucky State University campus employment ranging from seven to 22 years and three staff members with eight months.

SUMMARY OF PROGRAMS/ACTIVITIES: To Increase our prospective pool of current admits, the Office of Admissions is focused on obtaining onsite applications and prospect cards. Applications were obtained from all high school seniors at recruitment events as well as on campus tours. Missing documents have been requested from students and high school counselors. The Office of Admissions has encouraged all prospective students that call the office to apply online. The Office identifies all students that are not high school seniors and requests submission of prospect cards.

- 1) The overall focus is to increase admit numbers. Numbers reported are as of May 15, 2018. Currently have 4,820 applications of which 4,513 are first time freshman, 291 transfers, and 16 graduate applications. Completed applications of 2,181 freshman admits and 98 transfer admits (community colleges are on semester quarters, so transfer number will increase during the summer). Currently at 81.1% admission rate for undergraduate and 78.1% for transfer admits. At this time last year, there were 1,701 first time freshman and 111 transfer admits.
- 2) The Office of Admissions is focused on marketing and promoting a positive image of the University.
- 3) There is enhanced collaboration with alumni, faculty, all university staff, and current students to assist with recruitment events and referrals for admission.



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ALIGNMENT WITH STRATEGIC GOALS: Goal 1 – Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: N/A

ATTACHMENTS: N/A

RECOMMENDATION: Information only.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018

SUBJECT: Certification of Resolution for Authorization to Sign
Agreements with Fifth Third Bank

FROM: Office of Finance and Administration

ACTION ITEM: Yes

BACKGROUND: KRS 164A.560 permits the governing boards of public institutions of higher education to elect to perform financial management functions per KRS 164A.555 to 164A.630 by issuing administrative regulations to do so. These administrative regulations implement the provision of KRS 164A.605 at Kentucky State University. The scheduled implementation date of this administrative regulation is July 1, 1986.

Section 1. The Board of Regents of Kentucky State University authorizes the president, by formal, written assignment, to delegate any portion of this authority to the Vice President for Business Affairs, except as provided in Section 4 of this administrative regulation.

Section 2. Kentucky State University officials to whom the president has delegated certain financial management responsibilities pursuant to this administrative regulation, are authorized to subdelegate those responsibilities or any portion thereof to their deputies and subordinates to the extent authorized by the president. All such subdelegations shall be made in writing and reported to the president.

Section 3. Any Kentucky State University official with authority derived from a delegation or subdelegation under this administrative regulation shall furnish a copy of his delegation or subdelegation upon request of any vendor or member of the public. All such delegations or subdelegations are terminated immediately upon suspension of the individual's employment at the university.

Section 4. No official, including the president, is authorized by Kentucky State University Board of Regents to acquire or dispose of an interest in real property, the duration of which interest exceeds two (2) years' time, without action of the Board of Regents.



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SUMMARY OF PROGRAMS/ACTIVITIES: N/A

ALIGNMENT WITH STRATEGIC GOALS: Goal 3 – Increase the University's Financial Strength and Operational Efficiency.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: N/A

ATTACHMENTS: Certification of Resolution for Authorization to Sign Agreements with Fifth Third Bank and Fifth Third Bank Account Resolution Certificate for Treasury Management Services

RECOMMENDATION: Approval for authorization to sign agreements in accordance with KRS 164A.555 to 164A.630.

IMPORTANT INFORMATION ABOUT THIS RESOLUTION

Fifth Third Bank has agreed to provide trust, agency, investment management, custodial services, retirement plan, or nonqualified plan services for your "Entity" (Company, Organization, and Governmental), trust and/or plan. The purpose of this CERTIFICATE OF RESOLUTION FOR AUTHORIZATION TO SIGN AGREEMENTS WITH FIFTH THIRD BANK is to identify the name(s) and title(s) of the individual(s) who is/are authorized to enter into agreements with Fifth Third Bank, and appoint others who can act on behalf of an Entity to provide direction to Fifth Third Bank to perform the applicable services identified in the signed agreement.

The resolution should identify by name and title the individual(s) who is/are authorized to sign the applicable agreement(s) and/or document(s) that is/are being entered into between the Entity and Fifth Third Bank. You may provide Fifth Third Bank with other documentation to identify the individual(s) who is/are authorized to execute an agreement if it includes the relevant information. Examples include, but are not limited to, bylaws, limited liability operating agreements, corporate resolutions, incumbency certificates, board resolutions, applicable sections of board minutes signed by the Corporate Secretary, partnership agreements, and other documents that identify who can sign and execute agreements, etc. Adoption of these documents may be evidenced by a certificate signed by the Corporate Secretary or other officer or authorized individual(s), or by signature of all the members of the adopting Entity. Governmental entities may contain signing authority within their state code, verifiable through the internet.

You may in the future add or replace the individual(s) who is/are authorized to enter into agreements with Fifth Third Bank, and/or provide direction to Fifth Third Bank by supplying a new CERTIFICATE OF RESOLUTION or other applicable documentation to supersede the most recent CERTIFICATE OF RESOLUTION FOR AUTHORIZATION TO SIGN AGREEMENTS WITH FIFTH THIRD BANK.

NOTE: This CERTIFICATE OF RESOLUTION FOR AUTHORIZATION TO SIGN AGREEMENTS WITH FIFTH THIRD BANK is separate and distinct from the AUTHORIZED SIGNER'S RESOLUTION. The AUTHORIZED SIGNER'S RESOLUTION is required and it identifies the individual(s) who can take all actions necessary to perform day-to-day duties by providing Fifth Third Bank with direction for the daily administration and operation of the Entity.

Please retain a copy of the documentation that you supply to Fifth Third Bank and this page for your files.

IMPORTANT INFORMATION ABOUT THE PURPOSE OF THIS DOCUMENT

This AUTHORIZED SIGNER'S RESOLUTION template is intended to identify the individual(s) authorized to take specific actions necessary for carrying out provisions of any such agreement which may include, but is not limited to, communicating, transacting, transferring, buying/selling, and assigning securities and transmitting instructions to Fifth Third Bank regarding the investment and/or distribution of assets. The resolution should identify by name, title and signature, the individual(s) transacting on the account(s) with Fifth Third Bank.

The resolution should be authorized by an individual listed on the CERTIFICATE OF RESOLUTION FOR AUTHORIZATION TO SIGN AGREEMENTS WITH FIFTH THIRD BANK, (or like document), stating who is authorized to enter into agreements and sign on behalf of the Entity. (Examples of 'CERTIFICATE OF RESOLUTION – like' documents include, but are not limited to partnership agreement, by-laws, corporate resolution, board resolution, etc.)

You may in the future add, subtract, or replace the individual(s) who is/are authorized signers for day-to-day duties by supplying a new AUTHORIZED SIGNER'S RESOLUTION or other applicable documentation to supersede the most recent AUTHORIZED SIGNER'S RESOLUTION.

NOTE: This document is separate and distinct from the CERTIFICATE OF RESOLUTION FOR AUTHORIZATION TO SIGN AGREEMENTS WITH FIFTH THIRD BANK. The CERTIFICATE OF RESOLUTION FOR AUTHORIZATION TO SIGN AGREEMENTS WITH FIFTH THIRD BANK is required and only identifies the individual(s) who can enter into and execute agreements with Fifth Third Bank, and appoint others to act on behalf of the Entity.

All contact information must be entered if a name appears in the 'Name, Signature' textbox.

For the purposes of this form, the following definitions apply:

- Communication authorization (COM) – individual is authorized to communicate with the bank. Examples include individual can accept call backs to verify money movement instructions, obtain cash balances, obtain position information.
- Trade authorization (TRADE) – individual is authorized to purchase or sell securities into or out of the account(s).
- Cash/Asset movement (CASH) – individual is authorized to instruct the movement of cash and assets in the following manner: account to account transfer (if applicable), wire transfers, checks and ACH transfers and delivery of assets.
- Fifth Third Direct Channel Administrator (ADM) – individual is authorized to act as Channel Administrator with regard to Fifth Third Direct portal activities.

Please retain a copy of the documentation that you supply to Fifth Third Bank and this page for your files.

Account Resolutions Certificate for Treasury Management Services (Non-Profit Organizations)

To Fifth Third Bank:

I HEREBY CERTIFY THAT:

I am the duly elected and currently serving Director, President, Secretary, Treasurer or other officer authorized to deliver this Certification (the "Official") on behalf of the not-for-profit corporation, association, trust or other entity identified below (the "Association"); and

The following resolutions ("Account Resolutions") were duly adopted by the Board of Directors or other governing body of this Association; and, the Account Resolutions have not been rescinded or modified, and are in full force and effect:

RESOLVED, Fifth Third Bank is hereby designated as an authorized depository of this Association and that one or more checking, savings or other deposit accounts ("Accounts") be opened and maintained with Fifth Third Bank in the name of the Association;

RESOLVED FURTHER, that the opening and maintaining of the Accounts, all transactions in connection with the Accounts and all related services will be governed by written agreements provided by Fifth Third Bank, and by such rules, regulations and policies as Fifth Third Bank shall from time to time establish;

RESOLVED FURTHER, this Association is authorized to obtain banking services from Fifth Third Bank including treasury management and corporate card services, and to enter into such agreement or agreements and documentation for such services as are required by Fifth Third Bank from time to time, including a Master Treasury Management Agreement, Terms and Conditions for various banking services, Signature Card, Commercial Card Agreement and Commercial Account Rules ("Banking Agreements") each of which, when accepted or signed by an Authorized Person described below is approved and authorized in all respects;

RESOLVED FURTHER, that the Association is authorized to incur and repay indebtedness, grant or give security, and incur and perform related liabilities and obligations to Fifth Third Bank in connection with the banking services obtained by the Association under the relevant Banking Agreements, including, without limiting the foregoing, with respect to: (a) transactions executed for the Association by Fifth Third Bank, (b) credit card services under the Commercial Card Agreement, and (c) import and export services for letters of credit as provided in the Terms and Conditions for such import and export services and related reimbursement, financing and security or collateral arrangements;

RESOLVED FURTHER, that the Association is authorized to enter into, execute and deliver to Fifth Third Bank applications, documents, notes and agreements reflecting or evidencing such indebtedness, security, liabilities and obligations including those related to letters of credit, confirmation and payment services, reimbursement arrangements and

related loans, lines of credit or similar financing arrangements, and security and collateralization arrangements, and a note or notes, security, pledge or similar agreement evidencing or securing such arrangements ("Banking Services Financing Agreements") each of which, when submitted, accepted or signed by an Authorized Person is authorized and approved in all respects;

RESOLVED FURTHER: that (a) each of the individuals identified in the table entitled "Authorized Persons" appearing below, (b) each person serving as an executive or finance officer of the Association (such as the Chief Executive Officer, President, Chief Financial Officer, Controller and Vice President-Finance or similar title (each, an "Executive Officer")) from time to time, and (c) any other person designated by any such individual whose identity and signature are provided to Fifth Third Bank (each, an "Authorized Person" and for purposes of certain Banking Agreements, an "Authorized Agent") is authorized on behalf of the Association and in its name to do any of the following:

- **Accounts and Agreements.** Open or close any deposit or other Account and execute on behalf of the Association signature cards (and designate persons with check signing authority), application forms, authorization, set-up and other documentation and agreements with Fifth Third Bank with respect to the Accounts and any services related to the Accounts including each of the Banking Agreements and Banking Services Financing Agreements;
- **Payment Instructions.** Issue, and designate persons with the authority to issue written, telephonic, electronic, internet-based or oral instructions and payment orders for the transfer or payment of funds of the Association on deposit with Fifth Third Bank (or at any other financial institution) including by wire transfer, automated clearing house debit, book transfer and other physical and electronic means;
- **Implementation and Setup.** Select the services the Association will obtain from Fifth Third Bank, and instruct Fifth Third Bank on service options and features desired by the Association, and the set up, implementation and security procedures relating to the services selected; and,
- **Authorization.** Designate, and advise Fifth Third Bank of the identity of persons (including officers and employees of this Association or its service providers) who have some or all of the authority of an Authorized Person with respect to one or more Accounts of the Association or services utilized by the Association, and limitations on the scope of such authority, if any, including a person or persons who will serve as administrator or service administrator with respect to a service or services obtained by the Association and have authority to: manage the service on behalf of the Association; select and administer security and operating procedures; designate persons as authorized users of a service; and, enable and administer user identification codes, passwords and other identification data.

RESOLVED FURTHER, that all actions of the Authorized Persons, and all agreements, applications, documents and authorizations executed and delivered by the Authorized Persons prior to the date of these resolutions and in connection with the transactions contemplated by these resolutions are ratified, confirmed and approved in all respects;

RESOLVED FURTHER: Fifth Third Bank is authorized to rely on the full and unrestricted authority as provided in these resolutions of any one Authorized Person unless otherwise certified to Fifth Third Bank by the Official;

RESOLVED FURTHER, that the undersigned Official is authorized to deliver a certified copy of these Resolutions to Fifth Third Bank and certify to Fifth Third Bank the name, title and specimen signature of each Authorized Person.

I further certify that:

- these resolutions do not conflict with or contravene the certificate or articles of incorporation, bylaws or similar governing documents of, or any agreement, law or regulation applicable to the Association; and
- each of the following persons has been designated by the Board of Directors as an Authorized Person with the authority specified in the foregoing resolutions, and the signatures indicated below are genuine signatures of the indicated persons:

AUTHORIZED PERSONS

	<u>Name of Authorized Person</u>	<u>Title of Authorized Person</u>	<u>Specimen Signature of Authorized Person</u>
1.	Dr. M. Christopher Brown II	President	
2.	Douglas R Allen II	VP for Finance & Administration/CEO	
3.			
4.			
5.			
6.			

****EXECUTION PAGE FOLLOWS****

IN WITNESS WHEREOF, I have subscribed my name to this Certificate on behalf of the following Association:

Exact Name of Association: Kentucky State University

Duly organized under the laws of the State of Kentucky

Taxpayer Identification Number: 61-1099712

Signature: _____

Print Name: M. Christopher Brown II

Title: President

Dated: _____



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: FY 2017 Audit
FROM: Office of Finance and Administration
ACTION ITEM: Yes

BACKGROUND: The governing board of any postsecondary educational institution making the election prescribed in KRS 164A.560 shall engage a qualified firm or certified public accountants experienced in the auditing of institutions to conduct an annual examination of the institution's financial statements in accordance with generally accepted auditing standards for the purpose of submitting an independent opinion, and preparing a report of findings and recommendations concerning internal accounting controls and procedures, and compliance with KRS 164A.555 to 164A.630. The secretary of the Finance and Administration Cabinet may prescribe minimum scope of any such audit. The opinion, with findings and recommendations, shall be forwarded to the Governor, the secretary of the Finance and Administration Cabinet, the Auditor of Public Accounts, the director of the Legislative Research Commission, the president of the Council on Postsecondary Education, and members of the governing board.

SUMMARY OF PROGRAMS/ACTIVITIES: N/A

ALIGNMENT WITH STRATEGIC GOALS: Goal 3 – Increase the University's Financial Strength and Operational Efficiency.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: Annual audits are required in accordance with State and Federal laws and failure to deliver audited financial statements may adversely impact State and Federal funding provided to the University.

ATTACHMENTS: To be provided at the Board of Regents meeting on June 7, 2018.

RECOMMENDATION: Accept the Fiscal Year 2017 Audit.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: 2018-19 and 2019-20 Tuition and Fees
FROM: Office of Finance and Administration
ACTION ITEM: Yes

BACKGROUND: The Council on Postsecondary Education approved a two year tuition and mandatory fee cap for the 2018-19 and 2019-20 fiscal years of 6% over the two year period. They also approved a maximum of 4% in either of the two years.

As a result, a two year tuition and mandatory fee resolution is recommended. In the proposed resolution, resident undergraduate tuition is lowered by 1.2%. Two new mandatory fees are introduced as part of a realignment of revenues to more closely align with program activities. In conformance with the HB303 management plan, the net effect of the tuition reduction and mandatory fee increase is an increase of 3.86% for fiscal year 2018-19.

There is no increase proposed for the 2019-20 fiscal year. Rates for 2019-20 will be the same as those approved for 2018-19.

SUMMARY OF PROGRAMS/ACTIVITIES: N/A

ALIGNMENT WITH STRATEGIC GOALS: Goal 3 – Increase the University's Financial Strength and Operational Efficiency.

Aligning the tuition and fees structure more closely with program activities will aid in budget development and alignment. A two year tuition and fee schedule provides consistency and certainty for budgeting activities and for students and families.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A



KENTUCKY STATE UNIVERSITY

Board of Regents

FISCAL IMPLICATIONS: Net revenues are expected to increase by approximately \$400,000 in fiscal year 2018-19 as a result of the tuition and fee schedule assuming headcount enrollment of 1,750.

ATTACHMENTS: Housing and Board Fee Schedule and Resolution and Tuition and Fee Schedule and Resolution.

RECOMMENDATION: Approve the schedules of tuition and fees for the 2018-19 and 2019-20 fiscal years.

HOUSING AND BOARD FEE SCHEDULE

Description	FY 2018-19 Per Semester	FY 2019-20 Per Semester
RESIDENCE HALL RENTALS		
Double Occupancy		
Chandler Hall	\$1,670	\$1,670
Kentucky Hall	\$1,670	\$1,670
The Halls	\$1,670	\$1,670
Combs Hall	\$1,670	\$1,670
Hunter Hall	\$1,670	\$1,670
Single Occupancy		
Chandler Hall	\$2,220	\$2,220
Kentucky Hall	\$2,220	\$2,220
The Halls	\$2,220	\$2,220
McCullin Hall	\$2,220	\$2,220
Triple Occupancy		
Kentucky Hall	\$1,620	\$1,620
Suite/Apartment Occupancy		
Chandler Hall	\$2,120	\$2,120
Kentucky Hall	\$2,120	\$2,120
McCullin Hall	\$2,120	\$2,120
Young Hall	\$2,120	\$2,120
BOARD RATES		
All Resident Students	\$1,675	\$1,675
Commuter Meals	\$400	\$400

Note: Students residing in residence halls are required to have a meal plan.

FY 2018-19 and FY 2019-20 HOUSING AND BOARD FEE
SCHEDULE RESOLUTION

BE IT RESOLVED, that the Kentucky State University Board of Regents approved the FY 2018-19 and FY 2019-20 Housing and Board Fee Schedule to be effective for the two fiscal years beginning July 1, 2018 and ending June 30, 2020.

ADOPTED, this _____ day of June 2018.

Dr. Elaine Farris, Chair
Kentucky State University
Board of Regents

Dr. M. Christopher Brown II, Board Secretary
Kentucky State University
Board of Regents

TUITION/MANDATORY FEE SCHEDULE

	2018/19 Per Sem.	2019/20 Per Sem.
FULL-TIME		
Undergraduate		
Resident		
12 - 18 credit hours	\$3,850	\$3,850
19 credit hours	\$4,170	\$4,170
20 credit hours	\$4,490	\$4,490
21 credit hours	\$4,810	\$4,810
Non-resident		
12 - 18 credit hours	\$9,500	\$9,500
19 credit hours	\$10,290	\$10,290
20 credit hours	\$11,080	\$11,080
21 credit hours	\$11,870	\$11,870

Continuously Enrolled Destiny and Legacy Student Tuition Rate is 83% of the non-resident tuition rate.

	Per Semester	
	2018-19	2019-20
Course Materials Fee		
Resident	\$195	\$195
Non-resident	\$195	\$195
Part-Time (per course)	\$45	\$45
Technology Fee		
Resident	\$155	\$155
Non-Resident	\$155	\$155
Part-Time (per course)	\$30	\$30
Safety and Security Fee		
Resident	\$50	\$50
Non-Resident	\$50	\$50
Part-Time (per course)	\$10	\$10
Asset Preservation Fee		
Resident	\$150	\$150
Non-Resident	\$150	\$150
Part-Time (per credit hour)	\$10	\$10
Graduate (full or part-time per credit hour)		
Resident	\$420	\$420
Non-resident	\$630	\$630

Per Semester	
<u>2018-19</u>	<u>2019-20</u>

**PART-TIME
Undergraduate
(per credit hour)**

Resident	\$325	\$325
Non-resident	\$790	\$790

Non-resident - Destiny & Legacy are 83% of Non-resident rates.

ONLINE TUITION AND FEE SCHEDULE

<u>FEES</u>	2018-19 Per Credit Hour	2019-20 Per Credit Hour
Undergraduate		
Resident	\$400	\$400
Non-resident	\$400	\$400
Graduate		
Resident	\$500	\$500
Non-resident	\$500	\$500
High School Student		
Dual Credit	\$54	\$54
ENG101	\$20	\$20
MA115	\$20	\$20

Note: These rates are applicable to those students who are registered as Part-time students.

An undergraduate student who is registered for 12 or more hours (graduate 9 or more hours) online exclusively will be classified as a full-time student and shall be charged based on the normal tuition and fee schedule and residency.

**SCHEDULE OF OPTIONAL
PARTICIPATION FEES**

<u>Description</u>	2018-19	2019/2020
Activity Fee	\$170	\$170
Application fee	\$30	\$30
Application fee--International Students	\$100	\$100
Auto Registration--Students (per year)	\$30	\$30
Auto Registration--Faculty/Staff (per year)	\$100	\$100
Damage Fee (non-refundable)	\$125	\$125
Deferred Payment Fee (per semester)	\$75	\$75
Diploma Replacement (undergraduate)	\$13	\$13
Diploma/Cover Replacement (undergraduate)	\$20	\$20
Diploma Replacement (graduate)	\$20	\$20
Diploma/Cover Replacement (graduate)	\$40	\$40
Drop/Add Fee	\$30	\$30
Graduation Fee--Undergraduate (excl cap/gown) non-refundable	\$75	\$75
Graduation Fee--Graduate (excl cap/gown) non-refundable	\$125	\$125
Homecoming Fee (fall semester only)	\$50	\$50
Housing Access Control Fee (One Time Housing Fee,) non-refundable	\$50	\$50
ID Meal Replacement Fee (per card)	\$20	\$20
Late Registration (per semester)	\$100	\$100
Nursing Student Liability Insurance Fee (Fall Semester)	\$16	\$16
Nursing Student Drug Screening	\$50	\$50
Orientation Fee	\$35	\$35
Payment Plan System Maintenance Fee	\$25	\$25
Re-instatement Fee	\$50	\$50
Return Check Fee	\$50	\$50
Room Reservation (per year)	\$75	\$75
Student Housing Fire, Theft Property Insurance	\$60	\$60
Transcript Fee	\$10	\$10
Thesis Fee	\$30	\$30

**SCHEDULE OF OPTIONAL
PARTICIPATION FEES**

Test Fees	2018/2019	2019/2020
ACT Test Fee National	\$34	\$34
ACT Test Fee Residual	\$34	\$34
Correspondence Exams	\$25	\$25
 MAT(Miller Analogies Test)	 \$77	 \$77
NLN/ACE	\$70	\$70
NCLEX Review	\$350	\$350
SAT1 Test-Reasoning	\$49	\$49
SAT2 Test--Subject (each)	\$22	\$22
SAT2 Test--Listening	\$22	\$22
TOEFL Test	\$125	\$125
Testing Transcript (per copy)	\$5	\$5

COURSE & LAB FEES

	Per Course	Per Course
	2018/2019	2019/2020
<hr/>		
Applied Information Technology		
CIT 110 120 136 137 220 255 310 355		
450 451	\$20	\$20
CIT 121 221 222 230 251 300 350 351		
352 361 362 420 430 440 452 460	\$0	\$0
CIT 111 112 200 360	\$20	\$20
Aquaculture		
AQU 411 427 511 527	\$0	\$0
AQU 412 413 460 512 560	\$30	\$30
AQU 410 428 510 528	\$30	\$30
Art		
ART 130 360	\$10	\$10
ART 101 110 112 113 211 305 306		
310 319 330 405	\$30	\$30
419 430 431 432		
ART 220 240 315 317 321 340 415 417		
420 433 434 435	\$50	\$50
436 437 438 439 440 441 442 443 444		
ART 307 312 322 407 412 451 452 453		
454	\$75	\$75
Biology		
BIO 101 107 108 111 204 210 212 301		
302 303 304 305 307	\$30	\$30
308 309 315 316 317 318 408 410 411		
412 413 417 427 460		
Chemistry		
CHE 110 120 310 312 350	\$35	\$35
CHE 209 303 320 407 409 414	\$35	\$35
CHE 203 220 307 308 314	\$0	\$0
Child Development/Family Relations		
CDF 315 407 408	\$0	\$0
Computer Science		
COS 100 101 108 109 180 200 230 232		
242 250 300 301 340	\$15	\$15

COURSE & LAB FEES (continued)

350 410 420 481 482 483 484 485 502
504 511 512 513 514
515 517 518 520 533 581 582 583 584
585 586 587 588 589

Math

MAT 095 096	\$0	\$0
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Music

MUS 112 114 212 214/ Music Theory I,II,III, IV	\$25	\$25
Half Hour Private Lesson	\$50	\$50
One Hour Private Lesson	\$75	\$75

Nursing

NUR 111 112 211 212	\$300	\$300
NUR 201 202	\$0	\$0

Physical Science

PHS 201 202	\$20	\$20
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Physics

PHY 111 112 207 208 211 212 390	\$20	\$20
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Social Work

SOW 201	\$98	\$98
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FY 2018-19 and FY 2019-20 TUITION AND FEE SCHEDULE
RESOLUTION

BE IT RESOLVED, that the Kentucky State University Board of Regents approved the FY 2018-19 and FY 2019-20 Tuition and Fee Schedule to be effective for the two fiscal years beginning July 1, 2018 and ending June 30, 2020.

ADOPTED, this _____ day of June 2018.

Dr. Elaine Farris, Chair
Kentucky State University
Board of Regents

Dr. M. Christopher Brown II, Board Secretary
Kentucky State University
Board of Regents



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: Thorobred Promise Tuition Program
FROM: Office of Finance and Administration
ACTION ITEM: Yes

BACKGROUND: While the University faces numerous funding challenges, it is important to maintain affordability for students and families. Tuition increases can adversely affect retention and progression of financially at-risk students.

The Thorobred Promise Tuition Program (TPTP) will provide predictability in college costs for full-time undergraduate students by holding tuition and mandatory fees constant for four years, based on the student's first year of enrollment.

Transfer and existing students will receive a commitment from the University to hold their tuition and mandatory fees flat for 2 to 4 years, depending on the number of credits they have earned as of July 1, 2018.

SUMMARY OF PROGRAMS/ACTIVITIES: Students will sign a Thorobred Promise Tuition Program agreement (information item attached) that outlines the University's commitment to hold their tuition and mandatory fees constant for up to four years.

Students that do not finish their degree program within the contracted number of years will pay tuition at current University rates after their agreement expires.

ALIGNMENT WITH STRATEGIC GOALS: Goal 1 – Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.

Goal 2 – Achieve Academic Excellence Across all Programs and Colleges, Increase Student General Education Skills, Degree Persistence, Career Readiness and Graduation Rates.



KENTUCKY STATE UNIVERSITY

Board of Regents

The Thorobred Promise Tuition Program creates incentives for students to graduate in four years, provides financial certainty to students and families, and will increase persistence and progression.

The TPTP assists advisors in stressing the importance of fifteen to finish and the importance of following a pathway to graduation for all students.

The TPTP along with the redesigned financial aid program and the low cost book program, position the University well for recruiting and marketing.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: With proposed tuition rates that are the same for the 2018-19 and 2019-2020 academic years, there is no negative impact from the TPTP until the 2020-2021 academic year. At that time, tuition increases will result in a lower increase in revenue due as those increases affect a smaller number of students. After four years, this impact is minimized as at that point, the revenue base grows equally each year.

There will be additional administrative costs in managing this program beginning in the second year. At least one additional staff position is anticipated.

ATTACHMENTS: Thorobred Promise Agreement FAQ and Four Year Tuition Rate Guarantee Agreement

RECOMMENDATION: Approve the Thorobred Promise Tuition Program.

Kentucky State University Thorobred Promise Tuition Program Frequently Asked Questions

Q. What is the Thorobred Promise Agreement?

A. The Kentucky State University Thorobred Promise Agreement will make it easier for students and families to plan for a four-year education by freezing in-state tuition and mandatory fees for four years.

New first-year undergraduate students entering in 2018-19 will be the first to enroll under the guarantee. For these students, tuition and mandatory fees will be set as follows for the 2018-19 academic year and then be frozen for four years:

- In-state (resident) tuition and mandatory fees - \$8,500.
- Out-of-state (non-resident) tuition and mandatory fees - \$19,800

Q. Do I qualify to participate?

A. Beginning with the 2018-19 academic year, the Kentucky State University tuition guarantee will apply to all incoming first-year undergraduate students.

After the fall semester of 2018, students who transfer to Kentucky State University would also join the agreement for a term of two, three or four years, based upon Student's completed credit hours prior to the fall semester of 2018.

The program does not apply to non-degree-seeking students, part-time students, dual credit students or other pre- enrollment or postsecondary option programs.

Q. How long does the guarantee last?

A. The Thorobred Promise Agreement holds in-state tuition and mandatory fees flat for four academic years. The specific four-year rates are set for each entering class of new first-year students.

Q. What fees are included in the Thorobred Promise Agreement?

A. The agreement includes in-state tuition and mandatory fees. It does not include registration and class fees, dining and housing fees, and all program based tuition, whether now existing or to be approved in the future.

Q. What happens if I do not graduate in four years?

A. Students who do not complete in four years would revert to the least-expensive guaranteed rate (the rate that rising fourth-year students would be paying).

That continues each year, moving to the next cohort's guaranteed rate.

Q. What if I enroll starting in spring or summer?

A. Students who enroll in spring semester would be charged the current academic year's tuition guarantee rate for the next four years.

Students who started in summer 2018 will be part of the guarantee that applies to students who began in fall 2018. Starting in summer 2018, students who enroll for summer term would pay the current academic year's guarantee rate for the summer and then would pay with the next academic year's guarantee rate for the next four years.

Q. If I leave school and come back, what happens?

A. The Thorobred Promise Agreement lasts four years. Students who leave and re-enroll during their initial four-year time period would pay the guaranteed rate for the remainder of the initial four-year term.

Students who do not complete during that initial four-year period would revert to the least-expensive guaranteed rate (the rate that rising fourth-year students would be paying). That continues each year, moving to the next cohort's guaranteed rate.

Q. Does this impact financial aid?

A. It depends on each student's individual circumstances. Check with the Financial Aid Office to see if your financial aid package will be affected.

Q. I began attending Kentucky State University in summer 2018. Am I included in the guarantee?

A. Yes. Students who began as new first-year students over the summer are admitted as part of the autumn class.

Q. I am enrolled in more than one major. Does that make me eligible for an extension of the four-year guarantee?

A. No.

Q. I changed majors and was not able to complete my program in four years. Am I eligible for an extension of the four-year guarantee?

A. No.

FOUR YEAR TUITION RATE GUARANTEE AGREEMENT
Academic Year 2018-19

This Four Year Tuition Rate Guarantee Agreement (the "Agreement") is entered into this ___ day of _____, 20____ by and between Kentucky State University and _____, an undergraduate student admitted to and enrolled at Kentucky State University ("Student"). In consideration of the representations and agreements set forth herein, Kentucky State University and Student agree as follows:

AGREEMENT

The Four Year Tuition Rate Guarantee is available only to full-time undergraduate students admitted to and enrolled in a degree granting course of study at Kentucky State University. The Guarantee is available to full-time resident and non-resident undergraduate students and will provide Student a fixed tuition rate during the term of this Agreement. The intent and goal of the Guarantee is to provide tuition predictability. Accordingly, there is no guarantee that Student will realize any tuition savings by participating in the Guarantee Agreement.

1. **Student.** Student represents and agrees that Student is a full-time degree-seeking undergraduate student and:

a. Check one box:

☐ a resident of Kentucky as determined by Kentucky State University's Registrar's Office.

☐ a non-resident

and

b. is enrolled at Kentucky State University.

2. **Tuition.** The Plan is offered for a term of two, three or four years, based upon Student's completed credit hours prior to the fall semester in which the Agreement commences. Completed credit hours include credits earned at Kentucky State University, credits projected to be earned at the end of the preceding spring and summer semester, and transfer credits accepted by Kentucky State University.

The fixed rate term for the Agreement is indicated by the box checked below (the “Fixed Rate Term”):

<u>Check One box</u>	<u>Fixed Rate Term for Agreement</u>	<u>Number of Completed Credits</u>
<input type="checkbox"/>	4	0 – 15
<input type="checkbox"/>	3	16 – 45
<input type="checkbox"/>	2	46 – 75

The Fixed Rate Term will always begin on the Fall semester following execution of this Agreement and terminate after the number of years indicated above.

For this Agreement beginning with the 2018-2019 Academic Year, the annual fixed tuition rate is \$8,500 for resident students and \$19,800 for non-resident students.

The annual Fixed Tuition Rate will be split evenly between the Fall and Spring semester, rounded to the whole dollar. The Fixed Tuition Rate is assessed every Fall and Spring semester in which the Student enrolls in one or more credit hours while classified as an undergraduate student. The Fixed Tuition Rate is not paid in semesters in which Student enrolls in no classes.

At the end of the Fixed Rate Term, Student reverts to the then prevailing annual tuition rate. If the Plan continues to be available Student may choose to enter into a new agreement.

Student's Initials

The Fixed Tuition Rate specified above covers only Kentucky State University's base tuition and mandatory fees for the Fall and Spring semesters. Student is responsible for and shall pay, in addition to the annual Fixed Tuition Rate, all registration and class fees, dining and housing fees, and all program based tuition, whether now existing or to be approved in the future, all at the then prevailing rate. The Fixed Tuition Rate does not include summer and winter semesters. Student agrees to pay, in addition to the Fixed Tuition Rate, any tuition applicable to summer and/or winter programs for which Student is enrolled.

Student's Initials

The Fixed Tuition Rate is applicable only at the time Student signs this Agreement and is valid throughout the Fixed Rate Term. Student acknowledges and agrees that Kentucky State University will set the tuition rate annually and new agreements with new Fixed Tuition Rates will be available to students not then enrolled in the Plan after annual and Fixed Tuition Rates are approved for the upcoming year. For example, while the tuition rate specified above is guaranteed to Student throughout the Fixed Rate Term, another student who enters into a Fixed Rate Tuition Plan Agreement for a different academic year may have a higher Fixed Tuition Rate applicable to him or her. New Fixed Rate Tuition Plan Agreements will be available for students not currently subject to an Agreement every year while Kentucky State University offers the Plan.

3. **Payment.** Student shall pay the Fixed Tuition Rate as billed on Kentucky State University Student Accounts using one of the available payment options. Past-due accounts are subject to late fees and the withholding of University services, for example registration and graduation, and assignment to an outside collection agency.

Student's Initials

4. **Enrollment.** The Agreement is for full-time enrollment. There is no pro-rata reduction of the Fixed Tuition Rate for part-time enrollment, even if Student registers for less than a full course of study. Continuous enrollment is not required; however, interruptions in enrollment (whether due to medical withdrawal, required military service, mission service or study abroad) do not extend the Fixed Rate Term. Student agrees that there shall be no extensions whatsoever of the Fixed Rate Term.

5. **Financial Assistance.** Student remains eligible for all financial assistance offered by Kentucky State University. Student's eligibility for financial aid is not affected by enrollment in the Agreement.

Student's Initials

Student acknowledges and agrees that enrollment in the Plan will not increase any financial aid offered to Student. However, the Student's budget for financial aid purposes will reflect the Fixed Tuition Rate under the Plan instead of Kentucky State University's then prevailing normal tuition rate.

Student's Initials

Student acknowledges and agrees that financial assistance programs are based on the number of credit hours for which Student enrolls. However, regardless of number of credit hours for which Student enrolls, Student shall always pay the full-time Fixed Tuition Rate on an annual basis. Student acknowledges that s/he will receive financial assistance based on the actual number of enrolled credits which may be less than full-time study, but Student will be responsible for paying the full-time Fixed Tuition Rate.

6. **Termination and Refund.** If Student withdraws from Kentucky State University, any refund of tuition paid shall be subject to Kentucky State University's normal policies governing refunds.

Student's Initials

7. **No Assignment or Transfer.** This Agreement may not be assigned or transferred to any other person or party.

8. **Tax Issues.** Student acknowledges and agrees that s/he shall be responsible for any and all tax implications as a result of this Agreement; that s/he has received no tax advice or representation from Kentucky State University; and that s/he has been given the opportunity to obtain advice from an independent tax professional.

9. **Miscellaneous.** If Student is under 18 years of age, this Agreement has been signed by Student's parents or legal guardian and shall remain valid for the term of this Agreement. In addition, Student consents to the release of personally identifiable educational records to Student's parent or legal guardian.

Kentucky State University may terminate the Rate Guarantee at any time for any reason provided that any Student in the Plan at the time of termination will be able to receive the benefits of the Rate Guarantee until the termination of the Student's Agreement.

Student’s Initials

The elections made herein by Student are irrevocable. Upon execution of this Agreement and during the applicable Fixed Rate Term, Student may not revert to Kentucky State University’s annual tuition payment plan but must pay tuition at the Fixed Tuition Rate applicable to Student as provided in this Agreement. If Student is still enrolled at Kentucky State University upon the completion of the Fixed Rate Term, Student shall pay tuition at Kentucky State University’s then prevailing tuition rate or enter into a new Fixed Rate Tuition Plan Agreement at the then new Fixed Tuition Rate. This Agreement may not be earlier terminated by either Kentucky State University or Student.

In witness whereof Kentucky State University and Student have signed this Agreement as of the date first set forth above.

Kentucky State University

By: _____

Name: M. Christopher Brown II

Title: President

STUDENT

Print Name: _____

KSU ID Number: _____

PARENT (if student is under 18 years of age)

Print Name _____



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: Budget for FY 2019 and FY 2020
FROM: Office of Finance and Administration
ACTION ITEM: Yes

BACKGROUND: KRS 164.350 directs the Kentucky State University Board of Regents, upon recommendation of the President, to adopt an allocation process for distributing funds appropriated to Kentucky State University by the General Assembly. Also, KRS 164.350 directs the Board of Regents to assure that the budget planning and implementation processes are consistent with the adopted strategic agenda, the biennial budget, and the mission of Kentucky State University.

The budget enacted by the Kentucky General Assembly for the FY 2018-20 fiscal biennium reduces state funding for Kentucky State University by 6.25% in fiscal year 2018-19 and by an additional 1% in fiscal year 2019-20.

Pension costs for the Kentucky Employees Retirement System will increase from approximately 49% of payroll to 84% of payroll in this biennium. There is also concern that pension contributions to the Kentucky Teachers' Retirement system will increase this biennium as well. The Governor's Office has also discussed publicly the possibility of an additional reduction in state funding in fiscal year 2019, perhaps as early as August.

All comprehensive state universities in Kentucky are struggling with how to address reduced revenues and increased pension costs. Western Kentucky University recently announced faculty and staff reductions totaling 140 positions. Eastern Kentucky University announced the elimination of 153 positions as well.

The Kentucky State University Faculty Senate approved a resolution on April 13, 2018 recommending a minimum annual 2.4% increase in budgetary funds allocated to Instruction, spread over five years. While the proposed budget does increase the percentage of funding allocated to instruction, the specific amount will not be certain



KENTUCKY STATE UNIVERSITY

Board of Regents

until position eliminations assumed in the budget have been accomplished. Also, as issues with the chart of accounts within Banner are corrected over the next six months, the ability to track instructional expenditures with confidence will improve.

SUMMARY OF PROGRAMS/ACTIVITIES: N/A

ALIGNMENT WITH STRATEGIC GOALS: Reduced revenues and the need to correct structural issues in the budget have led to a recommendation for significant budget reductions affecting almost every aspect of the University. However, these reductions allow for the redistribution of funds in a way to support the strategic goals of the University.

The proposed budget also includes a \$1,886 increase in salary for all full-time employees. This is the largest salary adjustment in many years and will help with employee retention and supports all strategic goals.

Goal 1 – Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.

The proposed budget addresses Goal 1 in several ways:

- Redesigns student financial aid package to refocus aid on progression, and retention.
- Realigns admissions with Student Affairs to forge a closer relationship between Admissions, Financial Aid, and University College.
- Implement University College beginning with the Fall 2018 class.
- Redesigns Student Success and Career Development programs.

Goal 2 – Achieve Academic Excellence Across all Programs and Colleges, Increase Student General Education Skills, Degree Persistence, Career Readiness and Graduation Rates.

The proposed budget addresses Goal 2 as follows:

- Incorporates the recommendations of the Green Ribbon Commission and provide resources to be allocated to high demand, high growth programs.
- Incorporates coaching and monitoring of 2nd, 3rd and 4th year students to track progression and align course offerings as needed.



KENTUCKY STATE UNIVERSITY

Board of Regents

- Assumes fixed tuition rates for the next two years and incorporates a four year tuition guarantee program to improve retention and graduation rates.

Goal 3 – Increase the University's Financial Strength and Operational Efficiency

The proposed budget addresses Goal 3 as follows:

- Assumes conservative headcount projections of 1,750 in FY 2018-19 and 2,000 in FY 2019-20.
- Provides planning certainty to program areas by budgeting for two years.
- Includes a contingency for the first time in several years.
- Addresses structural problems related to the print shop and the book scholarship program.
- Incorporates significant increases in pension costs over the next two years.

Goal 4 – Enhance the Impact of External Relations and Development

- Aligns Alumni Relations with Brand Identity to focus Institutional Advancement staff solely on identifying additional resources for the University.
- Coordinates funding programs with expected use of endowment income in accordance with the approved spending policy.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: Program impacts will be in accordance with the recommendations of the Green Ribbon Commission.

FISCAL IMPLICATIONS: The proposed budget addresses long term structural issues and aligns ongoing revenues with ongoing expenses. Reductions in state funding along with necessary restructuring led to a base reduction of 16.3% across the University. Fixed costs such as utilities, necessary contracts such as Banner, Blackboard, etc. were exempted from reductions. All contracts are being reviewed and modified as possible to gain savings and increase efficiency. As a result of this efficiency review, the campus print shop will be closed as of July 1, 2018. The review will continue throughout the year in an effort to find additional efficiencies.



KENTUCKY STATE UNIVERSITY

Board of Regents

The proposed budget assumes significant staff reductions and operating expense reductions to accomplish this realignment. Approximately 60 positions will be eliminated. This compares to 140 positions eliminated at Western Kentucky University and 153 eliminated at Eastern Kentucky University.

ATTACHMENTS:

- Faculty Senate Action Tracking Document
- FY 2018-19 and FY 2019-20 Proposed Financial Plan
- FY 2018-19 and FY 2019-20 Budget Resolution

RECOMMENDATION: Approve the FY 2018-19 and FY 2019-20 Proposed Financial Plan and Budget Resolution.

RECEIVED

Received

KENTUCKY STATE UNIVERSITY
FACULTY SENATE
ACTION TRACKING DOCUMENT
Kentucky State University
Office of the President

APR 18 2018

APR 13 2018

Academic Affairs

EFFECTIVE DATE: 04-02-18Code: FCBASC 17-18-05

Origin: ☐ Academic Policies Committee
☒ Budget and Academic Support Committee
☐ Curriculum Committee
☐ Executive Committee
☐ Professional Concerns Committee
☒ Senate Resolution
☐ Other (specify) _____

Date Introduced: 04-02-18Date Last Revised:

Description: Faculty Senate Resolution to annually and incrementally increase the percentage of KSU's budget allocated to Instruction over the next five years, starting with the FY201819 budget.

Resolution: Faculty Senate recommends a minimum annual 2.4% increase in budgetary funds allocated to Instruction, spread over five-years.

Rationale:

According to the latest audits, KSU is spending 17.4% of its budget on instruction. For FY19, we Requested 21.3% for Instruction from the State and Recommended 19.4% (FY20 Requested 22.2% Instruction and Recommended 20.2%). While any increase is helpful, this still keeps us last in all of the comparison groups that BASC has researched: other State regional universities in Kentucky, other Land Grant institutions, other HBCU's, our CPE-designated "benchmark" institutions (full research is available upon request). While we understand that improper coding of spending and economies of scale can account for some of the disparities, these factors alone cannot account for level of disparity revealed by the research. To be in line with these other institutions, we request that future budgets build toward a goal of 30% funding for instruction, to include operating budgets that include equipment, supplies, facilities, and student experiential initiatives in addition to competitive faculty salaries.

Key Words: Budget Resolution Instruction**I. Committee Approval:** ☐ Date: 03/26/2018Chairperson: Reba RyeDate 04/03/18**II. Senate Action:** ☒ Approved ☐ Disapproved ☐ ReturnedSenate President: Dantrea HamptonDate 4/12/2018

APR 13 2018

III. Provost/Vice President Academic Affairs: ☒ Approved ☐ Disapproved ☐ ReturnedProvost/VPAA Signature: [Signature]Date: 4-16-18

On approval, please forward the original to the President and send the attached notification to the Faculty Senate Office

IV. President: ☐ Approved ☐ Disapproved ☐ Returned

Approve request for consideration by President

Subject to review and decision by President Brown

[Signature]

APR 17 2018
[Signature]

President: _____ Date: _____

Distribution:

- ☐ President
- ☐ Vice President for Academic Affairs
- ☐ Registrar
- ☐ Faculty Senate President for Distribution to:
 - ☐ Committee Chair
 - ☐ Senate Office Records
 - ☐ Faculty Handbook
- ☐ Other: _____

KENTUCKY STATE UNIVERSITY
FY 2018-19 and FY 2019-20 PROPOSED FINANCIAL PLAN

Page 1

	FY 2017-18 Approved Budget	FY 2018-19 Proposed Budget	FY 2019-20 Proposed Budget
State Appropriation	26,729,600	25,459,000	25,259,100
Tuition and Mandatory Fees	15,887,500	15,960,000	18,030,000
Non-mandatory (Optional) Fees	430,600	430,000	500,000
Employee Parking	33,400	35,000	35,000
Interest Income	100,000	100,000	100,000
Indirect Cost Recovery	500,000	500,000	500,000
Fund Balance	-	-	-
Auxiliary Enterprises	3,734,500	4,500,000	5,000,000
TOTAL REVENUE	47,415,600	46,984,000	49,424,100

EXPENDITURES

PRESIDENTIAL AREA

Personnel	1,135,200	950,200	950,200
Fringe Benefits	442,800	370,600	370,600
Operating Expenses	475,360	537,400	537,400
	2,053,360	1,858,200	1,858,200

ATHLETICS

Personnel	922,500	772,100	772,100
Fringe Benefits	348,731	291,900	291,900
Operating Expenses	593,500	577,500	577,500
	1,864,731	1,641,500	1,641,500

ACADEMIC AFFAIRS

Personnel	8,465,928	7,442,900	7,442,900
Fringe Benefits	3,247,538	2,902,500	2,992,500
Operating Expenses	646,800	1,156,800	1,156,800
	12,360,266	11,502,200	11,592,200

LAND GRANT

	6,671,200	6,651,400	6,584,900
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KENTUCKY STATE UNIVERSITY
FY 2018-19 and FY 2019-20 PROPOSED FINANCIAL PLAN

Page 2

	FY 2017-18 Approved Budget	FY 2018-19 Proposed Budget	FY 2019-20 Proposed Budget
STUDENT ENGAGEMENT			
Personnel	2,319,289	1,743,400	1,743,400
Fringe Benefits	804,838	692,500	955,600
Operating Expenses	5,124,600	4,799,300	5,399,300
	<u>8,248,727</u>	<u>7,235,200</u>	<u>8,098,300</u>
UNIVERSITY POLICE			
Personnel	594,250	497,500	497,500
Fringe Benefits	171,923	143,600	200,000
Operating Expenses	110,000	92,100	92,100
	<u>876,173</u>	<u>733,200</u>	<u>789,600</u>
FINANCE AND ADMINISTRATION			
Personnel	1,551,300	1,298,400	1,298,400
Fringe Benefits	417,081	349,100	481,700
Operating Expenses	1,638,259	1,836,000	1,836,000
	<u>3,606,640</u>	<u>3,483,500</u>	<u>3,616,100</u>
INSTITUTIONAL ADVANCEMENT			
Personnel	760,976	213,400	213,400
Fringe Benefits	297,851	74,700	103,000
Operating Expenses	131,000	52,800	52,800
	<u>1,189,827</u>	<u>340,900</u>	<u>369,200</u>
BRAND IDENTITY			
Personnel	-	395,400	395,400
Fringe Benefits	-	134,400	185,500
Operating Expenses	-	210,300	210,300
	<u>-</u>	<u>740,100</u>	<u>791,200</u>
INFORMATION TECHNOLOGY			
Personnel	665,973	557,400	557,400
Fringe Benefits	260,662	218,200	301,100
Operating Expenses	500,400	820,800	820,800
	<u>1,427,035</u>	<u>1,596,400</u>	<u>1,679,300</u>

	FY 2017-18 Approved Budget	FY 2018-19 Proposed Budget	FY 2019-20 Proposed Budget
FACILITIES			
Personnel	1,361,542	1,139,600	1,139,600
Fringe Benefits	532,899	446,000	615,500
Operating Expenses	3,121,000	3,578,500	3,700,200
	<u>5,015,441</u>	<u>5,164,100</u>	<u>5,455,300</u>
AUXILIARIES			
Personnel	70,000	100,000	125,000
Fringe Benefits	35,000	50,000	69,000
Operating Expenses	3,674,500	4,350,000	4,806,000
	<u>3,779,500</u>	<u>4,500,000</u>	<u>5,000,000</u>
UNIVERSITY GENERAL			
Personnel	90,600	76,100	76,100
Fringe Benefits	34,400	28,800	39,800
Operating Expenses	197,700	182,400	182,400
	<u>322,700</u>	<u>287,300</u>	<u>298,300</u>
\$1,886 Initiative		900,000	-
Performance Salary Pool		-	1,300,000
Contingency	-	350,000	350,000
TOTAL EXPENDITURES	<u>47,415,600</u>	<u>46,984,000</u>	<u>49,424,100</u>

KENTUCKY STATE UNIVERSITY BUDGET RESOLUTION

BE IT RESOLVED, that upon due consideration and upon recommendation of the Kentucky State University President, the following operating budget authorizations totaling \$46,984,000 are approved for the fiscal year beginning July 1, 2018, and ending June 30, 2019, and totaling \$49,424,100 are approved for the fiscal year beginning July 1, 2019, and ending June 30, 2020.

In the event revenues estimated should not be realized, the President shall take appropriate action to reduce budget authorizations to amounts sufficient to ensure that expenditures do not exceed available revenues. The President shall report to the Board of Regents in advance any major deviations from the approved operating budget.

In the event actual annual revenues exceed estimated revenues, the President may authorize an increase in the unrestricted current funds expenditure budget up to 5.0 percent of the Board's authorized expenditure level. Increases greater than 5.0 percent of the authorized expenditure budget must have prior approval of the Board.

The Kentucky State University Quarterly Financial Report shall contain sections that reflect the July 1 opening budget, amendments to the opening budget, and expenditures to date. This report shall provide the necessary detail for amending the budget as permitted by this resolution.

Equipment and capital construction projects with scopes greater than the thresholds contained in KRS Chapter 45 shall be approved by the Board of Regents, the Council on Postsecondary Education, as required by the relevant statutes. All units and individuals within Kentucky State University incurring financial obligations of university funds resulting from this authorization shall observe and adhere to applicable laws, regulations, and policies of the Commonwealth of Kentucky and the Kentucky State University Board of Regents, which govern the expenditure and disbursement of funds. Heads of various budget units shall not authorize nor incur financial obligation in excess of the budget authorization for that budgetary unit.

This budget and its provisions will be effective July 1, 2018, through June 30, 2020. ADOPTED, this _____ day of June 2018.

Dr. Elaine Farris, Chair
Kentucky State University
Board of Regents

Dr. M. Christopher Brown II, Board Secretary
Kentucky State University
Board of Regents



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: Per Diem Rate
FROM: Office of Finance and Administration
ACTION ITEM: Yes

BACKGROUND: Kentucky State University has been adopting the Commonwealth of Kentucky's State per diem rate since approximately 2002 and is the lowest in the state and among HBCU's. The current rate is \$30/day for low-rate and \$36/day for high-rate cities. The current mileage reimbursement rate for the Commonwealth of Kentucky is \$0.41 per mile. The Faculty Senate Professional Concerns Committee has expressed concerns the rate does not sufficiently cover meal expenses especially in high-rate metropolitan cities.

SUMMARY OF PROGRAMS/ACTIVITIES: N/A

ALIGNMENT WITH STRATEGIC GOALS: Goal 3 – Increase the University's Financial Strength and Operational Efficiency.

Goal 5 – Obtain Maximum Institutional Effectiveness through the Implementation of a Continuous Quality Improvement Process framed within the seven Baldrige Performance Excellence in Education criteria.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A



KENTUCKY STATE UNIVERSITY

Board of Regents

FISCAL IMPLICATIONS: An increase to the Per Diem Rate for meals will increase overall travel related expenditures for the University; however, the adjustment will better align actual costs incurred for travel related expenses. The University is not requesting a proposed change to the current mileage rate of \$0.41 per mile, as this is consistent with the Commonwealth's current reimbursement rate.

ATTACHMENTS: Faculty Senate Action Tracking Document and the FY 2019 Per Diem Rate Schedule.

RECOMMENDATION: Approve the Per Diem Rate Schedule effective July 1, 2018.

KENTUCKY STATE UNIVERSITY
FACULTY SENATE
ACTION TRACKING DOCUMENT

Copy

EFFECTIVE DATE: Fall 2017

Code: PCC 16-17-04

Origin: ☐ Academic Policies Committee
☐ Budget and Academic Support Committee
☐ Curriculum Committee
☐ Executive Committee
☒ Professional Concerns Committee
☐ Senate Resolution
☐ Other (specify) _____

Date Introduced: April 24, 2017

Date Last Revised: _____

Description:

Key Words: per diem, reimbursement, GSA, meals and incidental expenses

I. Committee Approval: ☒ Date: April 24, 2017

Chairperson: Cindy Glass

Date 4/24/17

Cindy Glass 4/24/17

II. Senate Action: ☒ Approved ☐ Disapproved ☐ Returned

Senate President: Kim Sipes Date 5/1/17

Kimberly Sipes Approved 5/1/17 Documents Received from PCC 5/3/17 Sent to VPAA 5/4/17

III. Provost/Vice President Academic Affairs: ☐ Approved ☐ Disapproved ☐ Returned

Provost/VPAA Signature: _____

Date: _____

On approval, please forward the original to the President and send the attached notification to the Faculty Senate Office

IV. President: ☐ Approved ☐ Disapproved ☐ Returned

President: _____ Date: _____

Distribution:

- ☐ President
- ☐ Vice President for Academic Affairs
- ☐ Registrar
- ☐ Faculty Senate President for Distribution to:
- ☐ Committee Chair
- ☐ Senate Office Records
- ☐ Faculty Handbook
- ☐ Other: _____

sent to MSAA 3/20/18
Smoother
Per VPAA
3/27/18

RETURN TO FACULTY SENATE WHEN COMPLETE OK

ACTION ITEM 16-17-04 (PCC)

Revise KSU's per diem rate and adopt Government Services Administration (GSA) –Meals and Incidental Expense (M&IE) standard rate (currently \$51/day). As the GSA increases, then KSU will meet the increase without the necessity of bringing another resolution for update.

<https://www.gsa.gov/portal/category/100120#perdiem-footnotes-5>

Justification:

Kentucky State University has been adopting KY State per diem rate for many years (approximately 2002) and is the lowest in the state and among 1890 HBCUs. The current rate is (30/day for low-rate and \$36/day for high-rate cities). This rate is not enough to cover meal expenses especially when we travel to the metro cities such as Atlanta, Los Angeles, Washington D.C., Boston, San Diego, San Francisco, Dallas, etc. for grants funded conferences and meetings.

Review of other university's Per Diem

University name	Per Diem Rate (Breakfast, Lunch, Dinner)	Since when the rate has been adopted	Comments
Kentucky State University	(\$7-\$8; \$8-\$9; \$15-\$19) Total 30-36	2008 or earlier	
University of Kentucky	\$54	2017	
University of Louisville	(\$9-\$14; \$14-\$21; \$23-\$36)-based on low-high cities Min \$47-	1/1/2017	
Tennessee State University	\$59	2017	
Alabama A&M University	\$75 (in-state) Per US GSA Meals and Incidentals Rates (Out-of-State)	8/1/2013	
Delaware State University	\$45	7/6/2016	
University of Tennessee- Knoxville	(\$12, \$18, \$30) Total 60	2014	
Louisiana State University	(\$9-\$13; \$13-\$19; \$29-\$36)	2016	

Per Diem Rate (Meals)	Current Reimbursement Rates									Proposed FY2019
	KSU	KCTCS	EKU *	MoSU	MuSU	NKU	UK	UofL	WKU	KSU
<i>Travel Designation</i>										
In-State Out-of-State/Low Rates										
Breakfast	7	7		8	8	8	11	9	10	9
Lunch	8	10		10	10	10	12	14	15	11
Dinner	15	18		18	18	18	13	23	26	18
Total Per Day	30	35	25	36	36	36	36	46	51	38
Out-of-State/High Rates										
Breakfast	8	8		10	10	10	17	14	15	11
Lunch	9	12		11	11	11	18	21	22	12
Dinner	19	25		23	23	23	34	36	37	27
Total Per Day	36	45	30	44	44	44	69	71	74	50

* EKU's policy is based on arrival and departure days



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018

SUBJECT: Reinstatement of Sick Leave Credit with Kentucky Teachers' Retirement System

FROM: Office of Finance and Administration

ACTION ITEM: Yes

BACKGROUND: At the November 7, 2014, meeting of the Kentucky State University Board of Regents, the Sick Leave Credit with Kentucky Teachers' Retirement System (KTRS) was eliminated. This credit allowed unused sick leave to be converted to service credit with KTRS upon an employee's retirement. The University was required to pay the actuarial cost of that service purchase.

The credit was eliminated as one of many actions to address significant budget challenges faced by the University in fiscal 2014-15.

The Professional Concerns Committee of the Faculty Senate has brought forth a request to reinstate the Sick Leave Credit with KTRS (attached).

SUMMARY OF PROGRAMS/ACTIVITIES: The Sick Leave Credit program would be reinstituted with KTRS and the Faculty Senate has also requested that employees affected since 2014 have their accounts adjusted and recalculated.

ALIGNMENT WITH STRATEGIC GOALS: N/A

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A



KENTUCKY STATE UNIVERSITY

Board of Regents

FISCAL IMPLICATIONS: This program was eliminated in 2014 due to its significant and increasing cost to the University. Projected cost for fiscal year 2018-2019 for the credit is approximately \$260,000. In addition, a liability for future credits would be created on the University's financial statements, further reducing the University's fund balance.

If reinstated, this liability will grow as pension rates and actuarial cost calculation increase. These increases would be in addition to the cost increases exceeding \$2.5 million projected over the next two fiscal years.

ATTACHMENTS: Faculty Senate Action Tracking Document

RECOMMENDATION: Do not approve the request to Reinstate the Sick Leave Credit with KTRS.

A Please forward to Ms. Smoot
3-26-18

KENTUCKY STATE UNIVERSITY
FACULTY SENATE
ACTION TRACKING DOCUMENT

EFFECTIVE DATE: Fall 2017

Code: PCC 16-17-01

Origin:

- ☐ Academic Policies Committee
- ☐ Budget and Academic Support Committee
- ☐ Curriculum Committee
- ☐ Executive Committee
- ☒ Professional Concerns Committee
- ☐ Senate Resolution
- ☐ Other (specify) _____

Date Introduced: April 3, 2017

Date Last Revised: _____

Description:

Key Words: sick leave, retirement, credit

I. Committee Approval: ☒ Date: March 27, 2017

Chairperson: Cindy Glass

Date 3/27/17

Cindy Glass

3/27/17

II. Senate Action: ☒ Approved

☐ Disapproved

☐ Returned

Senate President: Kim Sipes

Date 4/3/17

Kimberly Sipes

Approved 4/3/17

Documents Received Sent to
from PCC 5/3/17 VPAA
5/4/17

III. Provost/Vice President Academic Affairs: ☐ Approved ☐ Disapproved ☐ Returned

Provost/VPAA Signature: _____

Date: _____

On approval, please forward the original to the President and send the attached notification to the Faculty Senate Office

forwarding this copy to Dr. Brown on 4/2/2018

Lisa K. Lang

IV. President: ☐ Approved

☐ Disapproved

☐ Returned

President: [Signature]

Date: 4/3/2018

Distribution:

- ☐ President
- ☐ Vice President for Academic Affairs
- ☐ Registrar
- ☐ Faculty Senate President for Distribution to:
- ☐ Committee Chair
- ☐ Senate Office Records
- ☐ Faculty Handbook
- ☐ Other: _____

RETURN TO FACULTY SENATE WHEN COMPLETE

AA 3/20/18
Sent to Ms Smoot
Per VPAA
3/27/18

ACTION ITEM, March 27, 2017, Professional Concerns Committee

The Professional Concerns Committee proposes to rescind the portion of the University Budget Reduction and Reorganization Plan, (Item IX in the Approved Minutes of 11/7/14), discussed and approved by the Board of Regents during the Special Session held on November 7, 2014, with respect to **Line 13, Elimination of Sick Leave Credit with KTRS.**

The Professional Concerns Committee requests that the Kentucky State University's Board of Regents immediately rescind this section which removed credit for unused sick leave, for the following reasons:

- a. Faculty should have received notification that this policy change was being proposed;
- b. The action should have been referred to BASC for consideration due to its connection with budgeting issues;
- c. The action should have been referred to PCC for consideration due to its connection with the impact on faculty and future retirement, and
- d. It is in direct conflict with the currently published Faculty Handbook, which reads as follows:

2.12.2.2 Unused sick-leave days conversion to retirement service credit: Upon a retiring employee's application for service retirement to the Kentucky Teachers' Retirement System (KTRS), the University shall act in accordance with the Kentucky Revised Statute 161.623, whereby the retiring employee's sick leave balance, expressed in days, shall be divided by one hundred eighty-five (185) days to determine the amount of service credit to be added to the employee's retirement account for the purpose of determining the retirement allowance.

The Professional Concerns Committee further proposes that all sick leave credit which was eliminated under that Motion be immediately reinstated, with such notification given to KTRS within 15 days. The Professional Concerns Committee further proposes that any faculty who were disadvantaged by this policy change, who retired during the time period that it was in effect, have their sick leave credited with KTRS and the retirement allocation be recalculated with the additional credit.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: Emergency Generator Project
FROM: Office of Finance and Administration
ACTION ITEM: Yes

BACKGROUND: The University's backup generator located in Jordan Hall failed the last week of March 2018. It was put back in service on April 3, but the University has been advised by the Finance and Administration Cabinet that it is likely to fail and should be replaced as soon as possible. This generator provides backup power for mission critical systems such as the chiller plant and campus information technology systems.

SUMMARY OF PROGRAMS/ACTIVITIES: To meet current codes, a replacement generator must be relocated to a site outside of Jordan Hall. The estimated cost for the generator is \$150,000 and costs to prepare the site, install the generator, and replace transfer switches are estimated at an additional \$150,000. Finance and Administration Cabinet staff handle design team selection, bidding and procurement, and contract administration for this project as the scope exceeds \$40,000.

ALIGNMENT WITH STRATEGIC GOALS: Goal 3 – Increase the University's Financial Strength and Operational Efficiency.

Preserving and protecting the University's operational power supply for mission critical systems is essential to protect financial data and maintain operations in the event of a power failure.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A



KENTUCKY STATE UNIVERSITY

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FISCAL IMPLICATIONS: Total project cost is estimated at \$300,000. Proceeds from the asset preservation fee will provide funding for this project.

ATTACHMENTS: N/A

RECOMMENDATION: Approve emergency generator replacement project.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: Initiation of Energy Performance Contract
FROM: Office of Finance and Administration
ACTION ITEM: Yes

BACKGROUND: The University currently has a number of buildings with serious mechanical issues relating to heating, ventilation, and air conditioning systems. While the boiler replacement project addressed some of the central infrastructure issues, it did not extend into the buildings themselves. There are also a number of issues with building specific systems such as lighting, domestic hot water, and windows.

The Finance and Administration Cabinet has recommended that the University initiate a guaranteed energy performance management contract. These types of contracts improve energy efficiency by replacing outdated systems with more modern, efficient systems. Typical improvements are lighting, HVAC, boiler systems, building control systems, and in some cases windows.

Improvements are funded through the utility savings generated by the improvements. The contract is guaranteed to be funded through savings and there is no net ongoing cost to the University.

SUMMARY OF PROGRAMS/ACTIVITIES: The University will initiate a performance contracting process with the Finance and Administration Cabinet. Projected timetable is as follows:

Mid-June 2018 – Release RFP

Late June 2018 – Walkthrough with potential vendors.

Mid-July 2018 – Oral presentations from vendors – Select vendor.

Early August 2018 – Begin energy and facility audit.



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August 2018 through May 2019 – Design phase.

May 2019 – Contract awarded. Begin construction. Construction phase lasts 12 to 18 months.

ALIGNMENT WITH STRATEGIC GOALS: Goal 3 – Increase the University's Financial Strength and Operational Efficiency.

Lowering energy costs and improving the campus infrastructure will improve overall operating efficiency. Updated building systems will reduce disruptions to campus operations from system failures.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: Once the design phase is complete, the University will enter into a financing agreement to support the project. Due to the structure of the contract and agreements, there will be no net increase in operating costs from the project.

ATTACHMENTS: N/A

RECOMMENDATION: Approve initiation of Energy Performance Contract process.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018

SUBJECT: Campus Master Plan Project

FROM: Office of Finance and Administration

ACTION ITEM: Yes

BACKGROUND: The University last completed a master plan over ten years ago. As a result, the plan is outdated and does not fit with the current University structure and environment. Accreditation bodies require that the University have a long term facility plan to support academic programs and to ensure that sufficient spaces exist for students, faculty and programs. Master plans solicit input from all University stakeholders to develop a vision of the physical plant of the University that aligns with strategic goals.

An updated master plan is also critical to fundraising efforts and efforts to obtain capital funding as part of the biennial budget process.

SUMMARY OF PROGRAMS/ACTIVITIES: Working with the Finance and Administration Cabinet, the University will select an architectural firm to develop an updated campus master plan. Finance and Administration Cabinet staff handle design team selection, bidding and procurement, and contract administration for this project as the scope exceeds \$40,000.

ALIGNMENT WITH STRATEGIC GOALS: Goal 1 – Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.

Goal 3 – Increase the University’s Financial Strength and Operational Efficiency.

A new master plan provides the framework to develop the physical infrastructure necessary to enhance the student experience. It also guides University investments in facilities to ensure that those investments are aligned with strategic goals and deliver the maximum return on investment.



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COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: The initial estimate for development of the Campus Master Plan is \$325,000. Proceeds from the asset preservation fee will provide funding for this project.

ATTACHMENTS: N/A

RECOMMENDATION: Approve Campus Master Plan Project.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018

SUBJECT: Approval to Transition to Synthetic Grass Athletic Field

FROM: Office of Finance and Administration

ACTION ITEM: Yes

BACKGROUND: The main athletic field for Kentucky State University causes significant strain on University resources to water, seed, level, stripe, and generally maintain the field. Due to the extensive use of the field, it is difficult to maintain it in “game shape” throughout football season.

Also, other uses of the field are very limited due to the need to preserve the field for games.

A synthetic grass field eliminates most of the maintenance issues associated with athletic fields and provides a more versatile surface for activities. This transition will also open the field up for more activities and uses by other groups. Partnerships with our local school districts are possible relating to the use of the field as well. On a natural grass field, Friday night games followed by a Saturday game throughout the season, would overstress the field. With a synthetic surface, this type of use of the field is very possible.

SUMMARY OF PROGRAMS/ACTIVITIES: The University will work with the Finance Cabinet to determine the appropriated contracting and project tracking process necessary for this transition.

ALIGNMENT WITH STRATEGIC GOALS: Goal 3 – Increase the University’s Financial Strength and Operational Efficiency

A synthetic field will lower University operating costs and provide opportunity to develop other revenue sources related to the field.



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COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: Funding for this transition will be provided from the Kentucky State University Foundation or private partner.

Ongoing operational costs for the University related to field maintenance are projected to decrease by \$20,000 to \$30,000 per year. Plus, the University's grounds staff will be free to work on other University needs.

ATTACHMENTS: N/A

RECOMMENDATION: Approve request to Transition to Synthetic Grass Athletic Field.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: Campus Roof Evaluation and Repair
FROM: Office of Finance and Administration
ACTION ITEM: Yes

BACKGROUND: The University currently has at least 11 buildings with some degree of roof failures. These range from issues affecting the entire roof (Kentucky Hall and Exum) to issues affecting portions of the roof (Blazer Library and the Halls).

SUMMARY OF PROGRAMS/ACTIVITIES: Working with the Finance and Administration Cabinet, the University will select a firm specializing in roof design and repair to evaluate roofs on at least 11 buildings. This effort will provide cost estimates for repair/replacement or redesign of problematic roofs. Once estimates are developed, a priority list and schedule will be prepared for funding.

ALIGNMENT WITH STRATEGIC GOALS: Goal 3 – Increase the University's Financial Strength and Operational Efficiency.

Water infiltration causes both visible and hidden damage to campus buildings, leading in many cases to disruptions, environmental issues, and reduced building lifespan. Protecting the campus infrastructure lowers maintenance costs and improves operational efficiency.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: The initial estimate for the design study is \$50,000. Funds for this project will be provided from the Asset Preservation fee.

ATTACHMENTS: N/A

RECOMMENDATION: Approve Project for Campus Roof Evaluation and Repair.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: Design Study for Shauntee Hall
FROM: Office of Finance and Administration
ACTION ITEM: Yes

BACKGROUND: The University has requested funding as part of the biennial budget process for over 15 years for a new nursing building. However, funding has not been provided. Current space is not sufficient for the existing program and limits the University's ability to expand in this high demand sector. Current accreditation requirements are for additional space and program effectiveness will be improved by co-locating all aspects of nursing education.

Shauntee Hall has been identified as a new location for the nursing program. A design study will set the scope of a future project to repurpose this building as the permanent home of an expanded nursing program.

SUMMARY OF PROGRAMS/ACTIVITIES: Working with the Finance and Administration Cabinet, the University will select an architectural firm to develop a renovation design for Shauntee Hall to accommodate the needs of the nursing program. The University will work with the Finance and Administration Cabinet to select an architect to develop the design.

ALIGNMENT WITH STRATEGIC GOALS: Goal 1 – Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.

Goal 2 – Achieve Academic Excellence Across all Programs and Colleges, Increase Student General Education Skills, Degree Persistence, Career Readiness and Graduation Rates.



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Renovated and enlarged space for the nursing program will allow the program to grow over time as necessitated by demand, leading to increased enrollment.

Properly equipped simulation spaces and classrooms will enhance student learning. Co-locating nursing learning spaces will enhance the learning environment and improve academic excellence.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: The initial estimate for the design study is \$30,000. The University operating budget will provide funding for this project.

ATTACHMENTS: N/A

RECOMMENDATION: Approve Design Study for Shauntee Hall.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018

SUBJECT: Memorandum of Agreement for Pedestrian Bridge

FROM: Office of Finance and Administration

ACTION ITEM: Yes

BACKGROUND: Kentucky State University is in the midst of constructing a pedestrian bridge between Hathaway Hall and Whitney Young Hall.

SUMMARY OF PROGRAMS/ACTIVITIES: The Kentucky State Department of Transportation (Department) and Kentucky State University desire to transfer the responsibility and maintenance of the pedestrian bridge between Hathaway Hall and Whitney Young Hall (including the access tower, elevator, stairs, and bridge structure). In order to do so, the Department has stipulated that the President, M. Christopher Brown II, must sign a Memorandum of Agreement. The Department has further stipulated that the Kentucky State University Board of Regents must issue a resolution providing the President with the authority to do so.

ALIGNMENT WITH STRATEGIC GOALS: Goal 1 - Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.

The completion of the pedestrian bridge will assist students in their efforts to travel across campus and will improve the overall aesthetics of campus.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: N/A

ATTACHMENTS: Memorandum of Agreement and Resolution.

RECOMMENDATION: Approval of Resolution Permitting President to execute Memorandum of Agreement relating to Kentucky State University Pedestrian Bridge, Kentucky Highway Plan, Item Number 5-8714.00.

RESOLUTION

AT A MEETING OF THE KENTUCKY STATE UNIVERSITY BOARD OF REGENTS,
HELD AT KENTUCKY STATE UNIVERSITY, ON JUNE 7, 2018,

RESOLUTION - KETUCKY STATE UNIVERSITY PEDESTRIAN BRIDGE, KENTUCKY
HIGHWAY PLAN, ITEM NUMBER 5-8714.00

WHEREAS, Kentucky State University, does hereby find as follows:

WHEREAS, Kentucky State University and the Commonwealth of Kentucky, Transportation Cabinet, Department of Highways, hereinafter referred to as the "Department," and Kentucky State University, 400 East Main Street, Frankfort, KY 40601, hereinafter referred to as the "University" wish to enter into a Memorandum of Agreement relating to the Kentucky State University Pedestrian Bridge, Kentucky Highway Plan, Item Number 5-8714.00;

WHEREAS, the Department intends to construct a pedestrian bridge between Hathaway Hall and Whitney Young Hall, near mile point 9.61 along US 60 on the University campus, listed in the Kentucky Highway Plan as Item Number 5-8714.00; and;

WHEREAS, the parties hereto desire to transfer the responsibility and maintenance of the pedestrian bridge between Hathaway Hall and Whitney Young Hall (including the access tower, elevator, stairs, and bridge structure) which shall hereinafter be referred to as the "Project;"

WHEREAS, University agrees to ratify and adopt all statements, representations, warranties, covenants, and agreements contained in the Memorandum Agreement by and between the Department and the University relating the Kentucky State University Pedestrian Bridge, Kentucky Highway Plan, Item Number 5-8714.00; and

WHEREAS, the University agrees to all terms and conditions stated in the Memorandum Agreement by and between the Department and the University relating the Kentucky State University Pedestrian Bridge, Kentucky Highway Plan, Item Number 5-8714.00.

NOW, THEREFORE, BE IT HEREBY RESOLVED by Kentucky State University Board of Regents that President M. Christopher Brown II is authorized to sign this Memorandum of Agreement on behalf of the University.

Dr. Elaine Farris, Chair
Kentucky State University Board of Regents

Dated: _____



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: Endowment Spending Policy
FROM: Office of Finance and Administration
ACTION ITEM: Yes

BACKGROUND: The majority of the University endowment was built during the Vision 20/20 initiative prior to 2010. This initiative was part of the Commonwealth of Kentucky's "Bucks for Brains" Endowment Match Program. Since that time, those original contributions have been allowed to grow to build the endowment.

Approval is requested to begin expending income from the endowment beginning with the 2018-2019 fiscal year. Funds will be used, as directed by the original donors, for scholarships, endowed professorships, and other directed activities.

For the first year, spending authority of 4.8% of the market value of the endowment is requested.

SUMMARY OF PROGRAMS/ACTIVITIES: The President will also appoint an Investment and Endowment Committee which will develop an investment policy and a spending policy to be presented to the Board of Regents. The committee will also monitor investment performance, the use of endowment income, and evaluate and select investment managers used by the University.

A full endowment report will be developed as required by the Council on Postsecondary Education. Donors will also receive annual reports as to the disposition of endowment income.

ALIGNMENT WITH STRATEGIC GOALS: Goal 3 – Increase the University's Financial Strength and Operational Efficiency.

Goal 4 – Enhance the Impact of External Relations and Development.



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Board of Regents

The use of endowment income for directed purposes will help mitigate the impact of some of the current year budget reductions. Targeted use of endowment income will also allow for investment in programs to grow the University.

Regular and complete reports to donors, the University administration, and the Board of Regents will build confidence in the structures in place to manage these funds. Reports to donors on the use of endowment funds will encourage giving as results will be documented and tangible.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: At a 4.8% spending level, approximately \$800,000 in income will be available for use in the upcoming fiscal year. Approximately half of this amount is restricted to student financial aid.

ATTACHMENTS: N/A

RECOMMENDATION: Approve the endowment spending policy of 4.8% for the 2018-2019 fiscal year.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: Five Year Staff Holiday Schedule
FROM: Office of Finance and Administration
ACTION ITEM: Yes

BACKGROUND: Kentucky State University is currently in the process of planning its five (5) year staff calendar.

SUMMARY OF PROGRAMS/ACTIVITIES: In an effort to streamline efficiency and to forecast a fixed schedule, Kentucky State University has developed a five (5) year calendar for implementation in order to avoid timing conflicts related to programs and events.

ALIGNMENT WITH STRATEGIC GOALS: Goal 3 – Increase the University's Financial Strength and Operational Efficiency.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: N/A

ATTACHMENTS: Proposed five year staff holiday calendar.

RECOMMENDATION: Approve the proposed five year staff holiday schedule.

Proposed 5 Year Staff Holiday Calendar

	Current Schedule						
	2017-18		2018-19		2019-20		2020-21
New Year's Day	1-Jan-18		1-Jan-19		1-Jan-20		1-Jan-21
Martin Luther King Jr. Day	15-Jan-18		21-Jan-19		20-Jan-20		21-Jan-21
President's Day	19-Feb-18		18-Feb-19		17-Feb-20		18-Feb-21
Spring Break	March 12- 16, 2018		March 11-15, 2019		March 9-13, 2020		March 8-12, 2021
Good Friday	30-Mar-18		19-Apr-19		10-Apr-20		2-Apr-21
Memorial Day	28-May-18		27-May-19		25-May-20		31-May-21
Independence Day	4-Jul-18		4-Jul-19		3-Jul-20		5-Jul-21
	5-Jul-18		5-Jul-19				
	6-Jul-18						
Labor Day	3-Sep-18		2-Sep-19		7-Sep-20		2-Sep-21
Columbus Day	8-Oct-18		14-Oct-19		12-Oct-20		14-Oct-21
Presidential Election					3-Nov-20		
Veterans Day	12-Nov-18		11-Nov-18		11-Nov-20		11-Nov-21
Thanksgiving	22-Nov-18		28-Nov-19		26-Nov-20		28-Nov-21
Day after Thanksgiving	23-Nov-18		29-Nov-19		27-Nov-20		29-Nov-21
Governor Inaguration			10-Dec-19				



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018

SUBJECT: Authorization for Voluntary Separation Incentive Program

FROM: Office of Finance and Administration

ACTION ITEM: Yes

BACKGROUND: State reductions in funding along with structural issues in the University budget have necessitated significant reductions in the 2018-19 fiscal year. An overall reduction of 16.3% has been recommended and a reduction of this magnitude will require the elimination of approximately 60 positions.

The University will develop a limited-time Voluntary Separation Incentive Program (VSIP). The University is taking this step in an effort to reduce salary, benefit, and wage costs in anticipation of these budget reductions. Additionally, this program will provide a way to redirect the allocation and appointment of positions at the University to maximize the University's ability to fulfill its mission on a continuing basis.

The program provides eligible faculty and staff with incentive compensation based on their annual base salary and completed years of service if they agree to separate from employment under the conditions of the program.

SUMMARY OF PROGRAMS/ACTIVITIES: N/A

ALIGNMENT WITH STRATEGIC GOALS: Goal 3 – Increase the University's Financial Strength and Operational Efficiency.

To align current expenditures with current and projected revenues, the University must reset expenditure levels in many areas. The VSIP will provide an additional opportunity to accomplish this goal while limiting disruptions of faculty and staff.

COMMITTEE/PROGRAM ACTION: N/A



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PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: If enough faculty and staff take advantage of the Voluntary Separation Incentive Program, the University may be able to avoid forced attrition in addressing funding reductions. This minimizes disruption of University operations. The cost of the program will be offset by salary savings by the end of the two year adopted budget cycle.

ATTACHMENTS: N/A

RECOMMENDATION: Approve the Voluntary Separation Incentive Program.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: ADP Payroll Conversion Update
FROM: Office of Finance and Administration
ACTION ITEM: No

BACKGROUND: ADP will begin payroll processing for Kentucky State University effective July 1, 2018.

SUMMARY OF PROGRAMS/ACTIVITIES: Key project information is as follows:

The pay frequency is moving from Semi-Monthly to Bi-Weekly. Kentucky State University will now have 26 pay periods and instead of 24. A new payroll calendar will be distributed soon.

Benefits will be taken out of the first two checks.

The use of Biometric time clocks is being explored for Facilities and Police initially. Biometric time clocks will be phased into other areas later.

We are currently auditing the data in the Human Resources/Payroll system.

General Counsel is revising the timesheet policies and procedures. There are several outcomes from the initial discussions, but at a high level there will be procedures put in place to ensure timely submittal of timesheets (ADP has a set schedule to run payroll that we must meet), students will use electronic timesheets, and exempt employees will only need to submit a timesheet for exceptions.

ALIGNMENT WITH STRATEGIC GOALS: Goal 3 – Increase the University's Financial Strength and Operational Efficiency.

In order to improve operational efficiencies, having a reliable, accurate, quality, and compliant payroll process is essential.



KENTUCKY STATE UNIVERSITY

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COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: Total annual investment of \$246,302.32.

ATTACHMENTS: N/A

RECOMMENDATION: Information only.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: Banner Update
FROM: Office of Finance and Administration
ACTION ITEM: No

BACKGROUND: Over the next 14 months Kentucky State University will leverage its investment in Banner to revitalize and re-implement the system.

SUMMARY OF PROGRAMS/ACTIVITIES: Kentucky State University and Ellucian are working to finalize the project timeline for the following projects:

- Online Graduation Degree – proposed timeline to begin June 1, 2018.
- Finance – proposed timeline to begin the week of June 11, 2018.
- Degree Works – proposed timeline to begin July 9, 2018.
- Hosting/Banner 9 – ASAP. Must be implemented before December 31, 2018.

The proposed timeline has not been accepted or confirmed by Ellucian as of the creation of this report. Once the discovery phase has been completed, the timeline will be confirmed.

The On-Demand Subscription Library (ODSL) has been made available to Kentucky State University. ODSL is a library of training videos on various Banner topics. The University purchased 10 named user licenses. Module managers have been requested to create a training plan and have the employees in their respective areas to complete the training plan by August 3, 2018.

ALIGNMENT WITH STRATEGIC GOALS: Goal 3 – Increase the University's Financial Strength and Operational Efficiency.



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Stabilizing the University's enterprise resource planning system and training staff appropriately in the use of that system are the most important steps toward improving the University's operational efficiency.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS:

Contracts:

- Cloud Services Order Form – Contract amount is \$28,342 per month (\$340,104 per year) for five years.
- Banner 9 Essentials – Contract amount is \$31,500.
- Professional Services Agreement – \$208,779.
- Financial Support Services – \$77,710.

Project:

- Optimization Projects – Project budget is \$285,000.

ATTACHMENTS: N/A

RECOMMENDATION: Information only.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: Review of Campus Facilities and Priorities
FROM: Finance and Administration
ACTION ITEM: No

BACKGROUND: Review of Campus Facilities and Priorities

SUMMARY OF PROGRAMS/ACTIVITIES: Update on facilities projects and other operations.

ALIGNMENT WITH STRATEGIC GOALS: Goal 3 – Increase the University's Financial Strength and Operational Efficiency.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: Increased support for Kentucky State University programs and activities.

FISCAL IMPLICATIONS: Operating and capital expenditures. See attachment.

ATTACHMENTS: List reviewing campus facilities and priorities.

RECOMMENDATION: Information only.

Review of Campus Facilities and Priorities

June 7, 2018

Current Construction

- **Repair Boilers and Aging Distribution Lines**

- o State Account No.: C756
- o Fund Source: State Bond Authorized in Fiscal Year 2014-2015
- o Appropriation: \$10,400,000.00
- o Cash Balance: \$-327,586.34
- o Mechanical Engineer Prime Firm: CMTA Consulting Engineers, Prospect, Kentucky
- o Actual Construction cost total with changes: \$6,600,107.00
- o Start: 6/13/2016. Substantial: 8/01/2017. Final Completion: 9/01/2017
- o Construction Prime Contractor: Hussung Mechanical Contractors, Louisville, Kentucky
- o Phase I: Status: This project is completed and under a one (1) year warranty.
- o Phase II: Status: This project is completed and under a one (1) year warranty.
- o Phase III: Status: This project is completed and under a one (1) year warranty.

- **Pedestrian Bridge across US60**

- o State Account No.: 151064
- o Fund Source: KY Transportation Cabinet, Road Funds FY2013
- o Appropriation: \$8,200,000.00
- o Civil Engineer Prime Firm: Palmer Engineering, Winchester, Kentucky
- o Actual Construction Cost total with changes: \$5,100,000.00
- o Start: 10/27/2015. Final Completion: 6/18/2018. Additional work crews were established to meet the **new** scheduled completion date.
- o Construction Prime Contractor: Hall Contracting of Kentucky Inc., Louisville, Kentucky
- o Utility Relocation by FPB
- o Construction Cost: \$691,342.93

- o The cost to install the 14 security cameras and two (2) emergency phones in the elevator tower has been added to the original construction.
 - o *Status: Work in progress.*
- **Renovate Atwood Ag Research Building**
 - o State Account No.: C751
 - o Fund Source: Federal Funds
 - o Appropriation: \$12,368,000.00
 - o Architectural Firm: Omni Architects, Lexington, Kentucky
 - o Design Fees Allotment: \$369,420.00
 - o Phase A Construction Estimate: \$6,592,675.00
 - o Funds are pending approval of architectural design fee from the USDA
 - o A plan has been developed to renovate the building and later build an adjoining Agriculture Experiential Learning Center next to the current Atwood Research Facility and create the Atwood Research Facility and Agricultural Experiential Learning Center.
 - o *Status: This project is entering phase B design development. Project manager, Bernard Engelman, with Division of Engineering & Contract Administration (DECA) has been assigned to this project.*

Design

- **University Campus Master Plan**
 - o State Account No.: CBUD
 - o Fund Source: Institution and Federal Funds
 - o Appropriation: *TBD*
 - o *Architectural Firm: TBD*
 - o *Design Fees Allotment: TBD*
 - o *Phase A Construction Estimate: \$325,000.00*
 - o This project will be funded from institution and federal funds. This project will assist the University with long and short range planning, development and improvement of the physical facilities.

- o *Status: No funds have been transferred to state account. Funds will be transferred upon Board Approval.*
- **Emergency Roof Repairs - Exum HPER Center**
 - o State Account No.: C8HZ
 - o Fund Source: KSU
 - o Appropriation: \$250,000.00
 - o Cash Balance: \$230,650.00
 - o Architectural Firm: Patrick D. Murphy Co. Architects, Louisville, Kentucky
 - o Design Fees Allotment: \$56,000.00. Construction Allotment: \$194,000.00
 - o Emergency Repairs Completed by Geoghegan Electrical: \$19,350.00
 - o *Status: A study has been prepared for this project; with an estimated cost of \$1.5 million to replace the entire roof on the William Exum center. Project manager, Carl Kratzer with the Division of Engineering and Contract Administration (DECA), has been assigned to this project. Project on hold pending overall roofing study.*
- **Hunter Hall Renovation Study**
 - o State Account No.: C8UH
 - o Fund Source: Federal Funds
 - o Appropriation: \$1,900,000.00
 - o *Architectural Firm: TBD*
 - o *Design Fees Allotment: TBD*
 - o *Status: This project has been initiated to determine if an elevator should be added and a covered walkway to connect to the Atwood facility. The funds will also be used to upgrade the electrical, plumbing and HVAC systems; as well as renovate classroom, research and meeting space. Project Manager, Butch Hatcher, with the Division of Engineering and Contract Administration (DECA) has been assigned to this project.*
- **Blazer Library Feasibility Study**
 - o State Account No.: C8K3
 - o Fund Source: KSU
 - o Appropriation: TBD

- o Cash Balance: TBD
- o Architectural Firm: Omni Architects
- o Design Fees Allotment: TBD
- o *Status: This project is currently going through a feasibility study to determine future interior and exterior renovation. Project manager, Carl Kratzer, with the Division of Engineering and Contract Administration (DECA) has been assigned to this project.*

- **Jordan Building and Warehouse Roof Replacement 2012**

- o State Account No.: C66S
- o Fund Source: KSU
- o Appropriation: TBD
- o Cash Balance: TBD
- o Architectural Firm: TBD
- o Design Fees Allotment: TBD
- o *Status: This project has an estimated cost of \$41,190.00 to replace the damaged section of the Jordan Building and the Warehouse. Project Manager, Carl Kratzer, with the Division of Engineering and Contract Administration (DECA) has been assigned to this project.*

- **Renovation – Halls**

- o State Account No.: C8XN
- o Fund Source: Institutional
- o Budget: Estimated \$900,000
- o Mold Remediation Firm: Chase Environmental Group, Inc.
- o Mechanical Engineering Firm: CMTA Engineers with Hussung Mechanical as subcontractor
- o Start: 04/30/2018 – Begin Phase 1 Mold Remediation and Mattress Removal, and complete on 05/05/2018. 05/07/2018 – 05/11/2018: On-site mechanical systems evaluations. Draft report received 05/15/2018.
- o *Status: Soffits removed. Mechanical evaluation complete. Awaiting scope of work and engineering cost estimate from Division of Engineering and Contract Administration (DECA). ETA unknown.*



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: Report on Kentucky State University Foundations
FROM: Office of Finance and Administration
ACTION ITEM: No

BACKGROUND: Three foundations are associated with Kentucky State University—Kentucky State University Foundation, Inc., Kentucky State University Research Foundation, Inc., and Kentucky State University Wesley Foundation, Inc.

SUMMARY OF PROGRAMS/ACTIVITIES: N/A

ALIGNMENT WITH STRATEGIC GOALS: Goal 4 – Enhance the Impact of External Relations and Development.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: N/A

ATTACHMENTS: Report on Kentucky State University Foundations and Letter from Kentucky State University Foundation, Inc.

RECOMMENDATION: Information only.

Relationship between Kentucky State University And Various Foundations as of June 7, 2018

Currently, there are three foundations registered with the Kentucky Secretary of State that are associated with Kentucky State University. These non-profit organizations include the following:

- Kentucky State University Foundation, Inc.
- Kentucky State University Research Foundation, Inc.
- Kentucky State University Wesley Foundation, Inc.

No formal contractual relationship exists between Kentucky State University and any of these foundations. A formal relationship is being developed between the University and the Kentucky State University Foundation, Inc. as that is now an accreditation requirement. No agreements are anticipated between the University and the other two foundations for reasons that will be outlined below. A brief discussion of each foundation and their interaction with the University follows.

Kentucky State University Foundation, Inc.

The Kentucky State University Foundation was incorporated on July 7, 1968, as the Kentucky State College Fund, Inc. On June 14, 1973, the trustees of the fund changed the name to the Kentucky State University Foundation, Inc.

The Foundation is a Kentucky not-for-profit corporation formed to receive, invest and expend funds to promote and implement educational and developmental activities at Kentucky State University. The Foundation is managed by a Board of Trustees independent from that of the University. The Foundation is supported primarily through contributions.

The Foundation Board meets two times per year. The President of Kentucky State University is not a member of the Board or a director of the Foundation, but is invited to the semi-annual meetings as a guest.

Currently, the Foundation works closely with the University Vice President for External Relations. With the exception of the Vision 20/20 (Bucks for Brains) funds, all funds received by the Office of Institutional Advancement and External Relations are deposited at the Foundation. The Foundation accounts for income and expenditures, and investment earnings and fees for these funds.

Most scholarship funds at the Foundation are governed by donor-specific criteria and guidelines. Disbursements for scholarships or any other activity must be requesting in writing by the University. The request must have supervisory and departmental approval and must also be approved by the Vice President of External Relations or the University President. Requests must include relevant documentation showing student need and qualifications. Documentation for non-scholarships expenditures must include, receipts, supporting

documentation and proof of University involvement or support. Final approval for expenditures rests with the Executive Secretary of the Foundation.

Vision 20/20 (Bucks for Brains)

During the Vision 20/20 initiative, contributions to the University were eligible for state matching funds. In most cases, the donations were made to the Foundation. Matching funds were held in the University endowment. This is consistent with how the other state universities managed the “Bucks for Brains” program.

The Foundation is responsible for reporting to donors on funds donated to the Foundation. The University is responsible for reporting to donors on matching funds and those funds that were maintained in the endowment.

The Foundation has no involvement in the management of the University endowment.

Kentucky State University Research Foundation, Inc.

The Kentucky State University Research Foundation, Inc. was incorporated on January 12, 1989, for the purpose of receiving grants from individuals, foundations, partnerships, associations, government bodies, and public or private corporations, and to maintain, use, and apply such grants therefrom exclusively for the benefit of Kentucky State University.

The Research Foundation is a not-for-profit corporation. While it is an active corporation with the Kentucky Secretary of State, it holds no assets and has received no donations since inception. The directors and officers of the Research Foundation are the same as that as the Kentucky State University Foundation, Inc. From an operational standpoint, it is inactive.

Kentucky State University Wesley Foundation, Inc.

The Kentucky State University Wesley Foundation, Inc. was incorporated on January 10, 2018, for the purpose, per the articles of incorporation, of the promotion of the Christian religion through a program of mission and ministry to the Kentucky State University campus. The United Methodist Church currently sponsors Wesley Foundations at all state universities. Kentucky State University has no financial relationship with the Wesley Foundation and does not provide funds to or receive funds from the Wesley Foundation.

May 29, 2018

Greg Rush
Special Assistant to the President
400 East Main Street
Kentucky State University
Frankfort, KY 40601

RE: Relationship between Kentucky State University and Various Foundations as of
June 7, 2018

Dear Greg:

Please note the following clarifications and suggested revisions to the above
named position statement which you sent me--

- A. The Foundation Board meets twice a year (minimally). In the interim, the Executive Committee of the Foundation Board meets.
- B. The relationship between the KSU Foundation and the Kentucky State University is via the President of Kentucky State University.
 - 1. The President or his designee works closely with the KSU Foundation's designated officers.
 - 2. The President of Kentucky State University is (and has been historically) the chief fund raiser.
- C. The KSU Foundation receives private donations (non-public funds/private source/non-governmental).
 - 1. Private source funds received by KSU Institutional Advancement/External Relations should be deposited at the KSU Foundation.
- D. Vision 20/20 (Bucks for Brains)
 - 1. The KSU Foundation was the instrument/entity utilized to provide money to obtain the state match.
 - 2. Private source funds (no state money) from various donors were utilized as state match dollars.
 - 3. All state match funds were received by KSU where they are invested.
 - 4. The KSU Foundation received no state match funds.

Greg Rush
Page 2
May 29, 2018

In addition, the KSU Board of Regents should review the modifications which it made to the relationship between KSU and the KSU Foundation in the early 1990's during the chairmanship of Gov. Louie Nunn.

Also, proposed formal agreements between Kentucky State University and the Kentucky State University Foundation will need to be reviewed by KSU Foundation legal counsel and must be approved by the KSU Foundation Board of Trustees.

Sincerely,

A handwritten signature in dark ink, appearing to read "D.W. Lyons", followed by a horizontal flourish.

Donald W. Lyons
Executive Secretary

cc: M. Christopher Brown II
President, Kentucky State University

Willie Peale, Jr.
President, Kentucky State University Foundation, INC.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: Fifth Third Bank Semiannual Endowment Report
FROM: Office of Institutional Advancement and Alumni Relations
ACTION ITEM: No

BACKGROUND: Fifth Third Bank manages the Kentucky State University Endowment.

SUMMARY OF PROGRAMS/ACTIVITIES: N/A

ALIGNMENT WITH STRATEGIC GOALS: Goal 4 – Enhance the Impact of External Relations and Development.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: Endowment income and performance.

ATTACHMENTS: Endowment Investment Summary and Performance Report.

RECOMMENDATION: Information only.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: Scholarship Fundraising Effort for Female STEM Majors
FROM: Office of Institutional Advancement and Alumni Relations
ACTION ITEM: No

BACKGROUND: Alumnae Patricia Russell-McCloud, Esq. led the effort to solicit donations to encourage and recognize African-American females to pursue STEM majors.

SUMMARY OF PROGRAMS/ACTIVITIES: 13 scholarships were awarded to African-American female STEM majors.

ALIGNMENT WITH STRATEGIC GOALS: Goal 4 – Enhance the Impact of External Relations and Development.

Goal 2 – Achieve Academic Excellence Across all Programs and Colleges, Increase Student General Education Skills, Degree Persistence, Career Readiness and Graduation Rates.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: Increased student scholarship opportunities.

FISCAL IMPLICATIONS: No negative fiscal implications. Additional funds available for student scholarships.

ATTACHMENTS: A summary of the 13 scholarships awarded.

RECOMMENDATION: Information only. Commend Attorney Russell-McCloud and encourage continuation of the scholarship.

**2018 STEM SCHOLARSHIP PRESENTATION FOR
AFRICAN AMERICAN FEMALES
KENTUCKY STATE UNIVERSITY
PRESENTED BY
PATRICIA RUSSELL-MCCLOUD, ESQ.
FOUNDER/FUND DEVELOPER**

**HONOR'S CONVOCATION
THURSDAY, APRIL 12, 2018
10:30 AM**

**This summary is provided from the notes of the presenter,
Patricia Russell-McCloud, Esq.**

Today, we are pleased to present to scholars who have succeeded in navigating the academic rigor of the Science, Technology, Engineering and Mathematics curriculum.

CORPORATE SUPPORTERS

Grainger Industrial Supply
Delta Air Lines
NBA - Atlanta Hawks
Cadillac Division - General Motors

SCHOLARSHIP REVIEW COMMITTEE

1. Alumna Lala Williams
2. Alumnus William Hunter Williams
- and, the support of:
3. National President KSU National Alumni Association, Venita A. Hawkins
4. Dr. Farabi Bigdeli-Jahed, Chair, Department of Mathematics
5. Elizabeth Doyle - Department of Mathematics
6. Mary Jackson- Advancement Services Coordinator
7. Student leader Volunteers

Thirteen applicants met the requirements of the application process.

Four freshman applied. This scholarship award is made for Sophomores, Juniors and Seniors. However, we have decided to present five (5) Incentive Awards.

INCENTIVE AWARDS		
NAME	CLASSIFICATION	GRADE POINT AVERAGE
BRANDY GILYARD	FRESHMAN	3.6
KARMARA HOLLINS	FRESHMAN	3.5
PAYTON STONE	FRESHMAN	3.0
DESIREE WARREN	FRESHMAN	3.7
MA'KAYLAH GARRETT	SENIOR	2.8

Scholarship awards for
2018 KSU STEM SCHOLARSHIPS FOR AFRICAN AMERICAN WOMEN

NAME	SCHOLARSHIP AWARD
DOMINQUE SHAW	\$2,500.00
JANICE EDMONSON	\$2,500.00
ERIN BROWN	\$4,000.00
MIEKAYLA FORD	\$4,000.00
TIA TAYLOR	\$4,000.00
JAZMINE RICHMOND	\$5,000.00
JORDAN WILSON	\$7,000.00

This scholarship was designed with the thought that we would be able to present a top STEM SCHOLAR with a fully endowed award of \$10,000.00. This year our benefactors have endowed the scholarship award in the amount of \$10,000.00 for her excellence and exceptional job well done. They are:

Bishop Reginald T. Jackson, Presiding Prelate
Sixth Episcopal District of the African Methodist Episcopal Church
Atlanta, GA

Christy Davis Jackson, Esq.
Supervisor, Sixth Episcopal District of the African Methodist Episcopal
Church, Atlanta, GA

NAME	TOP STEM SCHOLAR SCHOLARSHIP AWARD
GENTEEL ESTERS	\$10,000.00

Finally, students, “Life happens when you have other plans.”

A STEM SCHOLAR, who is hospitalized, as we speak, with serious health challenges--TORI FARROW, BIOLOGY MAJOR, has remained committed to excellence, hope for future, and, determined to complete her education with Honors!

We are pleased to award--TORI FARROW-- THE AGAINST THE ODDS--
SPECIAL SCHOLARSHIP AWARD....

for her attitude, acumen, reliability and resilience....

NAME	THE AGAINST THE ODDS SPECIAL SCHOLARSHIP AWARD
TORI FARROW	\$3,500.00

**TOTAL 2018 KSU STEM SCHOLARSHIP FOR
AFRICAN AMERICAN WOMEN**

INCENTIVE AWARDS	AS LISTED	\$6,000.00
2018 STEM SCHOLARSHIPS	AS LISTED	\$39,000.00
AGAINST THE ODDS AWARD	TORI FARROW	\$3,500.00
TOTAL		\$48,500.00

DR. M. CHRISTOPHER BROWN, II., PRESIDENT, KENTUCKY STATE
UNIVERSITY, to begin our efforts for next year – 2019 - for this STEM
scholarship initiative, we leave in our account for this STEM Scholarship
\$2,741.13. For 2017-2018, the amount of \$51,241.13 was raised.

THOROBREDS: ONWARD, UPWARD, FORWARD!



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: Election Results for KSUNAA Officers
FROM: Office of Institutional Advancement and Alumni Relations
ACTION ITEM: No

BACKGROUND: The Kentucky State University National Alumni Association (KSUNAA) constitution states the following regarding the election of officers:

Section 1. Scheduling of Election

Elections shall be held during even-numbered years at the Commencement General Assembly. (Every 2 years.) All elected officers shall be installed and officially assume their duties at the close of the fiscal year.

SUMMARY OF PROGRAMS/ACTIVITIES: Officers for 2018-2020 were voted upon at the May 12, 2018, KSUNAA General Assembly meeting. The 2018-2020 Officers are listed below. The Officers begin their term July 1, 2018.

President: Richard Graves
Vice President: Kendra Randle
Secretary: Lois Mockabee
Treasurer: Herb Watkins
Member-at-Large: Vacant

ALIGNMENT WITH STRATEGIC GOALS: Goal 4 - Enhance the Impact of External Relations and Development.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A



KENTUCKY STATE UNIVERSITY

Board of Regents

FISCAL IMPLICATIONS: N/A

ATTACHMENTS: N/A

RECOMMENDATION: Information only.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: KSUNAA Summer Weekend, July 25-28, 2018
FROM: Office of Institutional Advancement and Alumni Relations
ACTION ITEM: No

BACKGROUND: The Kentucky State University National Alumni Association (KSUNAA) will host a Summer Weekend for alumni to teach, review policy and procedures, and refine the relationship between the KSUNAA and the Kentucky State University Office of Alumni Relations. The weekend is scheduled for July 25-28, 2018.

SUMMARY OF PROGRAMS/ACTIVITIES: The weekend program is attached. Activities are designed to complement the work of the KSUNAA and the Office of Alumni Relations.

ALIGNMENT WITH STRATEGIC GOALS: Goal 4 - Enhance the Impact of External Relations and Development.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: Increased understanding of the Office of Alumni Relations' operations.

FISCAL IMPLICATIONS: Kentucky State University staff time.

ATTACHMENTS: Program flyer.

RECOMMENDATION: Information only.

KSUNAA Summer

LEADERSHIP BOOTCAMP

JULY 27-29, 2018

- ◆ Tours
- ◆ Workshops
- ◆ Fellowship
- ◆ Cookout
- ◆ Summer Breeze Reception
- ◆ White Party

Recent Alumni Registration
Classes of 2016 to 2018: Free
after June 30, 2018 - \$25

Early Bird Registration postmarked by
June 30, 2018
Classes of 2015 and under \$50

Regular Registration postmarked by
July 15, 2018
Classes of 2015 and under \$65

Late & On-site Registration postmarked after
July 15, 2018 or at the door \$75

**Full Registration includes 2 breakfasts,
2 lunches, cook-out, and White Party ticket**





KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: Resolution of Support for SGA Leadership
FROM: Office of Student Engagement and Campus Life
ACTION ITEM: Yes

BACKGROUND: Kentucky State University has had a history of providing various forms of compensation for elected student leaders, to include housing, food service, and stipend. Over the years, however, such compensation has been inconsistent, and has therefore caused a question of what type of compensatory benefit should be provided.

SUMMARY OF PROGRAMS/ACTIVITIES: It is proposed that the following become a standard compensatory benefit for the Student Government Association (SGA) President and Mr. and Miss Kentucky State University.

1. Students holding the aforementioned offices will be provided in-state tuition waivers.
2. Students holding the aforementioned offices will be provided a single room in one of the university residence halls.
3. Students holding the aforementioned offices will be provided with a standard university meal plan.
4. Students holding the aforementioned offices will be provided a clothing allowance of \$500/semester.
5. Students holding the aforementioned offices must be in good academic standing and have zero balances of all fees and fines before the proposed compensation is approved.

ALIGNMENT WITH STRATEGIC GOALS: Goal 1 – Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.



KENTUCKY STATE UNIVERSITY

Board of Regents

Goal 2 – Achieve Academic Excellence Across all Programs and Colleges, Increase Student General Education Skills, Degree Persistence, Career Readiness and Graduation Rates.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: Cost of single room, board, and clothing stipend for the SGA President and Mr. and Miss Kentucky State University.

ATTACHMENTS: N/A

RECOMMENDATION: Approval of the recommended compensation benefits to the SGA President and Mr. and Miss Kentucky State University.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018

SUBJECT: Introduction of New Administrators and Organizational Structure

FROM: Office of Student Engagement and Campus Life

ACTION ITEM: No

BACKGROUND: Kentucky State University welcomes the following administrators to the Office of Student Engagement and Campus Life:

1. Dr. Jeffrey Burgin, Associate Vice President and Dean of Students
2. Dr. Charles Holloway, Associate Vice President for Student Success
3. Ms. Cara Gilbeau, Assistant Dean for Student Leadership and Assessment
4. Mr. Bret Ellis, Interim Director of Career and Professional Development

SUMMARY OF PROGRAMS/ACTIVITIES: N/A

ALIGNMENT WITH STRATEGIC GOALS: Goal 1 – Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: N/A

ATTACHMENTS: N/A

RECOMMENDATION: Information only.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: Pre-College Academy Update
FROM: Office of Student Engagement and Campus Life
ACTION ITEM: No

BACKGROUND: Kentucky State University will host 100 incoming college students for a five-week, residential pre-college academy beginning June 23, 2018 and concluding July 28, 2018. The academy is formally named the Starting Line Pre-College Academy.

SUMMARY OF PROGRAMS/ACTIVITIES: The academy is a five week, eight credit hour granting program, whose goals are to 1) provide students the opportunity to get a head start on academic credit, 2) strengthen their academic skills, 3) participate in career exploration, and 4) build a sense of community and engagement with the Kentucky State University campus. In addition to first year courses in Mathematics and English, academy participants will enroll in KSU 101, a course designed to assist students in making a smooth academic and social transition to University life. Through guided experiences, students will begin the self-exploration process while working towards creating a college mindset. This course will provide students with an introduction to social, intellectual, personal, and physical wellness as they relate to college-level success. *Becoming a Master Student* by Dave Ellis will be the text used for the course.

ALIGNMENT WITH STRATEGIC GOALS: Goal 1 – Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development

Goal 2 – Achieve Academic Excellence Across all Programs and Colleges, Increase Student General Education Skills, Degree Persistence, Career Readiness and Graduation Rates.

COMMITTEE/PROGRAM ACTION: N/A



KENTUCKY STATE UNIVERSITY

Board of Regents

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: N/A

ATTACHMENTS: N/A

RECOMMENDATION: Information only.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: University College Implementation Update
FROM: Office of Student Engagement and Campus Life
ACTION ITEM: No

BACKGROUND: Kentucky State University will launch its newly formed University College in the fall semester 2018. University College is the unit into which all first year and pre-major students will be enrolled.

SUMMARY OF PROGRAMS/ACTIVITIES: The Kentucky State University College Model is built on three programmatic structures/services: 1) Developmental Academic Advising, 2) First Year Experience Program, and 3) Academic Support Services. Students will be guided through their first year in curricular strands that encourage their college major and career exploration.

ALIGNMENT WITH STRATEGIC GOALS: Goal 1 – Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.

Goal 2 – Achieve Academic Excellence Across all Programs and Colleges, Increase Student General Education Skills, Degree Persistence, Career Readiness and Graduation Rates.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: N/A

ATTACHMENTS: N/A

RECOMMENDATION: Information only.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: The Halls Reopening Update
FROM: Office of Student Engagement and Campus Life
ACTION ITEM: No

BACKGROUND: Kentucky State University anticipated the reopening of The Halls for the fall semester 2018.

SUMMARY OF PROGRAMS/ACTIVITIES: Preliminary work is being conducted to ascertain the scope of work needed for the opening of at least one wing of The Halls by fall semester.

A mechanical evaluation has been performed and due to the age of the mechanical systems and how the building was taken offline in 2013 it may not be possible to open the building before the fall of 2019. Phase A design of the repair and replacement of mechanical systems has begun and, once completed, a more definite timetable and budget for reopening The Halls will be developed.

ALIGNMENT WITH STRATEGIC GOALS: Goal 1 – Enhance Student Enrollment, Improve Student Life and Engagement, and Improve Student Advising and Career Development.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: N/A

ATTACHMENTS: N/A

RECOMMENDATION: Information only.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018

SUBJECT: Rescind November 7, 2014 Policy Resolution

FROM: Office of the General Counsel

ACTION ITEM: Yes

BACKGROUND: The Gold Book: Bylaws of the Kentucky State University Board of Regents provides in Article II, Section 2 that the Kentucky State University Board of Regents is to act “as a policy-making body by adopting policy statements of governance and operation of the University, in areas, including, but not limited to, human resources, information technology, public relations and development.” The Gold Book also provides, however, that the Kentucky State University Board of Regents will “[e]ntrust the internal administration of the University to the President in accordance with the duly established governing Board Policies and administrative regulations.”

On November 7, 2014, the Kentucky State University Board of Regents approved a resolution limiting the President’s ability to manage the internal administration of the University by requiring the President to seek board approval before amending operational policies and procedures included in the Human Resources Manual, the Student Handbook, and the Faculty Handbook.

SUMMARY OF PROGRAMS/ACTIVITIES: Kentucky State University is currently preparing for a reaffirmation visit from the Southern Association of Colleges and Schools Commission on Colleges (SACSCOC). Pursuant to SACSCOC’s newly adopted Principles of Accreditation: Foundations for Quality Enhancement, Section 10, Standard 4(2)(b), a Governing Board must:

[ensure] a clear and appropriate distinction between the policymaking function of the board and the responsibility of the administration and faculty to administer and implement policy. (Board/administrative distinction)

The rationale behind the requirements for Section 10, Standard 4(2)(b), is outlined in the Resource Manual for the Principles of Accreditations, published in March 2018. The Resource Manual’s rationale and notes as it relates to Standard 4(2)(b) states as follows:

Effective governance includes clearly defining the roles and responsibilities of the governing board, administration, and faculty and ensuring that each of these groups adheres to their appropriate roles and responsibilities. While it is important that the overall mission and overarching policies of the institution are approved by the board, the administration and implementation of the general direction set by the board are carried out by the administration and faculty in order to prevent the board from undercutting the authority of the president and other members of the administration and faculty, thereby creating an unhealthy and unworkable governance structure.

As set forth above, effective governance requires that a governing board provide general direction to the University's administration and faculty. It is the responsibility of the University administration and faculty to carry out and implement that general policy. By requiring that the Kentucky State University Board of Regents approve all amendments to University procedure manuals, there is an appearance that the Kentucky State University Board of Regents is interfering with the internal administration of the University.

ALIGNMENT WITH STRATEGIC GOALS: Goal 3 – Increase the University's Financial Strength and Operational Efficiency.

Goal 5 – Obtain Maximum Institutional Effectiveness through the Implementation of a Continuous Quality Improvement Process framed within the seven Baldrige Performance Excellence in Education criteria.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: N/A

ATTACHMENTS: November 7, 2014 Board of Regents Special Meeting Minutes.

RECOMMENDATION: Rescind the November 7, 2014 Board Resolution.

KENTUCKY STATE UNIVERSITY
Board of Regents Special Meeting
9:00 a.m., November 7, 2014
Julian M. Carroll Academic Services Building Board Room
Frankfort, Kentucky
Regent Karen W. Bearden, Presiding

MINUTES

I. Call to Order:

Chairperson Karen Bearden welcomed attendees to the Special Meeting of the Kentucky State University Board of Regents and called the meeting to order at 9:00 a.m. on November 7, 2014.

II. Roll Call:

Chairperson Bearden asked the Secretary to the Board to conduct the roll call:

Regent Barfield, *Present*
Regent Bearden, *Present*
Regent Farris, *Present*
Regent Guarnieri, *Present*
Regent Haskins, *Present*
Regent Henry, *Absent*
Regent Lysonge, *Present after Roll Call*
Regent McFayden, *Present*
Regent Reddy, *Present after Roll Call*
Regent Smith, *Present after Roll Call*
Regent Whitehead, *Present*

Ten Regents were in attendance. A quorum was declared at 9:00 a.m.

III. Opening Remarks:

Chair Bearden began the meeting by welcoming the attendees to the meeting.

IV. Approval of Minutes:

Action: Motion was made to approve the minutes from the July 24, 2014 Bylaws Committee, the July 24, 2014 Executive Committee and the October 24, 2014 Regular Board Meeting by Regent McFayden and seconded by Regent Whitehead. The motion passed unanimously.

See item V. for Board Statement on Policies

V. Board Statement on Policies:

President Burse presented his recommendation that all future changes to the policies of the University be amended only through approval of the Board of Regents. This statement further recommended that the Board rescind its April 25, 2003 action which separated the Faculty Handbook into administrative regulations, which did not require Board approval for amendments.

All policies of the Board will be reviewed and centralized in one location on the KSU website.

Action: Motion was made by Regent Whitehead to require Board approval for any proposed amendments to all University policies, including but not limited to, the Faculty Handbook, the Human Resources Manual and the Student Handbook. The motion was seconded by Regent Farris. The motion passed 8-1. Regent McFayden voted against the motion.

VI. Academic Program Approval:

Dr. Beverly Downing, Interim Vice President of Academic Affairs discussed a proposal for the approval of the Bachelor of Science in Nursing (BSN) program. Dr. Downing explained that there is a national movement away from the two (2) year Associate's Degree in Nursing (ADN) program, since hospitals are seeking nurses with higher degrees.

KSU is having difficulty locating clinical experiences for ADN students as part of the students' education. Dr. Indira Tyler, Interim Chair of the KSU School of Nursing, further explained that hospitals are increasingly seeking "magnet" status of excellence which requires their nurses to be trained at the BSN level.

If approval to begin a BSN is granted, KSU would begin a "teach-out" phase of the ADN program for those students currently in the program pipeline while simultaneously "starting-up" the BSN program. Students who are in the ADN program would be on-track to complete the lower level liberal studies requirements in the BSN program. Once the BSN program is fully approved, current and prospective students will be advised of the program change and advised appropriately.

KSU will be unable to conduct both programs (BSN and ADN) simultaneously as there would be an increased need for more faculty and added difficulty to provide the ADN students the clinical experiences required.

Action: Motion was made by Regent Whitehead to approve the pre-licensure Bachelor of Science in Nursing (BSN) program. The motion was seconded by Regent Haskins. The motion passed unanimously.

VII. ENROLLMENT UPDATE:

Carmella Conner, Acting Vice President of Student Affairs addressed the Board. She advised the Board that the current enrollment was 1869. This number was disaggregated by categories in the board materials.

The process for student withdrawal from the university was discussed. Students are required to complete a withdrawal form and specifically state why s/he seeks to withdraw from the university and obtain signatures from their academic dean. Students are also advised to speak with an advisor in the Academic Center for Excellence, Academic Advising, and/or Student Support Services if the reasons for withdrawal are academic in nature.

Early intervention strategies were discussed. The Office of Academic Affairs has worked to implement "Course Signals" to assist in identifying students who are in academic jeopardy before withdrawal is contemplated. Full implementation of Course Signals is expected by the beginning of Spring 2015.

Ms. Conner also discussed the November 1, 2014 Open House for prospective students. Over 785 prospective students were in attendance. A committee will review areas of improvement needed in preparation for the Spring Open House. In particular, some attendees commented that they'd like to have heard more presentations from the individual major areas of study. The Admissions Office will continue to follow-up with attendees as a part of the recruitment process.

Ms. Conner stated that the goal of the Open House was to invite 1000 prospective students to showcase the University. The Board requested that in the future each recruitment activity have stated goals and metrics.

Ms. Conner discussed the faculty's role in recruitment. She explained that she would like to see faculty participate as members of recruitment committee. Ms. Conner also explained that she and Dr. Downing are actively collaborating to increase University recruitment efforts.

President Burse further clarified his expectations of the faculty in the recruitment of prospective students. For example, he would expect that when a prospective student contacts the Office of Admissions and indicates a major area of study of interest, the Admissions Office would contact faculty in that area to outreach to the student within twenty-four (24) hours.

He expects active engagement from the faculty and every staff person on campus. Faculty members should have positive comments to say about Kentucky State when engaging with prospective students.

VIII. SPECIAL EXTERNAL-INTERNAL AUDIT REPORT:

Ms. Melinda Impellizeri, Special Assistant to the President for Strategic Planning and Development, presented a report based upon findings from KSU's external-internal auditor, Tronconi and Associates.

President Burse announced that KSU had submitted a grant application to NASA in which over 33 entities participated. This application is for the Center of Transforming Education in Science and Mathematics.

President Burse also updated the Board regarding activities of the Office of Sponsored Projects. KSU currently has \$19 million dollars in active grants and the indirect cost recovery back to the university from these grants is \$600,000.

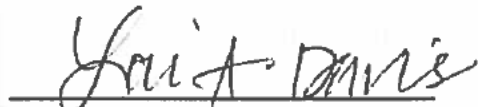
President Burse also mentioned that KSU will partner with Fayette County Schools on closing the achievement gap and other activities that support academics at the secondary level.

VI. CLOSING REMARKS/ADJOURNMENT:

Action: Motion was made to adjourn the Board meeting by Regent Haskins and seconded by Regent Farris. The motion passed unanimously.

The meeting adjourned at 11:38 a.m.


Submitted by:



Lori A. Davis, Secretary
General Counsel
Kentucky State University



Karen W. Bearden, Chair
Board of Regents
Kentucky State University

☒ Approved with no corrections 

☐ Approved with corrections



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: The Gold Book Revisions Update
FROM: Office of the General Counsel
ACTION ITEM: No

BACKGROUND: The Office of the General Counsel is working to update The Gold Book: Bylaws of the Kentucky State University Board of Regents to ensure consistency and compliance with applicable state/federal laws as well as standards set by the Southern Association of Colleges and Schools Commission on Colleges (SACSCOC) through its newly adopted, Principles of Accreditation: Foundations for Quality Enhancement.

SUMMARY OF PROGRAMS/ACTIVITIES: The Gold Book: Bylaws of the Kentucky State University was last revised and approved by the Board of Regents in January 2015. Regular review of the Board's bylaws is a basic part of every board's legal duties.

The General Counsel's Office has reviewed SACSCOC's newly adopted accrediting standards as well as various statutory authority as it relates to the Gold Book to ensure compliance. Based on that review, the General Counsel's Office has developed a preliminary crosswalk that will form the basis for recommended changes to the Board of Regents at a future board meeting.

ALIGNMENT WITH STRATEGIC GOALS: Goal 3 – Increase the University's Financial Strength and Operational Efficiency.

Goal 5 – Obtain Maximum Institutional Effectiveness through the Implementation of a Continuous Quality Improvement Process framed within the seven Baldrige Performance Excellence in Education criteria.

COMMITTEE/PROGRAM ACTION: N/A



KENTUCKY STATE UNIVERSITY

Board of Regents

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: N/A

ATTACHMENTS: N/A

RECOMMENDATION: Information only.



KENTUCKY STATE UNIVERSITY

Board of Regents

DATE: June 7, 2018
SUBJECT: Approval of Contacts
FROM: Office of the General Counsel
ACTION ITEM: Yes

BACKGROUND: The Gold Book, Article II, Section 2(p) provides that the Kentucky State University Board of Regents shall “[a]pprove any appropriation, expenditure, disbursement or contract greater than \$50,000, notwithstanding the provisions of KRS 164A.560 and 745 KAR 1:035.”

SUMMARY OF PROGRAMS/ACTIVITIES: The President is hereby submitting the attached contracts for approval by the Kentucky State University Board of Regents.

- Personal Service Contract for Captain and Crew for Kentucky State University’s Kentucky River Thorobred
- Dining Services Contract

ALIGNMENT WITH STRATEGIC GOALS: Goal 3 – Increase the University's Financial Strength and Operational Efficiency.

The execution and approval of these contracts will increase the University’s financial strength and operational efficiency by contracting with an outside vendor.

COMMITTEE/PROGRAM ACTION: N/A

PROGRAM IMPLICATIONS: N/A

FISCAL IMPLICATIONS: See contract.

ATTACHMENTS: SUP Marine Support Services Contract. Dining Services Contract will be provided at a later date.

RECOMMENDATION: Approval of contracts.

